



DEPARTMENT OF ENERGY AND ENVIRONMENTAL PROTECTION

Bureau of Energy and Technology Policy

REPORT TO  
THE JOINT LEGISLATIVE COMMITTEE ON  
ENERGY AND TECHNOLOGY

Regarding the Equitable Distribution of Conservation and Renewable Energy Funds in  
Connecticut, pursuant to Public Act 11-80, Section 101

July 9, 2012

**Report to the Joint Legislative Committee on Energy and Technology Regarding the  
Equitable Distribution of Conservation and Renewable Energy Funds in Connecticut**

**I. SUMMARY**

Section 101 of Public Act 11-80, An Act Concerning the Establishment of the Department of Energy and Environmental Protection and Planning for Connecticut's Energy Future (Act), requires the Department of Energy and Environmental Protection (DEEP) to do the following:

- Before approving any plan for energy conservation and load management submitted by the Energy Efficiency Board (EEB) or any plan for renewable energy projects submitted by the board of directors of the Clean Energy Finance and Investment Authority (CEFIA),<sup>1</sup> DEEP must determine that an equitable amount of the funds administered by each such board are to be deployed among communities that fit the following definition: “small and large customers with a maximum average monthly peak demand of one hundred kilowatts in census tracts in which the median income is not more than sixty per cent of the state median income.”
- DEEP “shall determine such equitable share and such projects may include a mentoring component for such communities.”
- DEEP must submit a report on an annual basis to the Joint Legislative Committee on Energy and Technology regarding the distribution of funds to the communities that fit the definition of Section 101.

In satisfaction of Section 101's annual report requirement, and as part of DEEP's approval of the 2012 Conservation and Load Management Plan submitted by the EEB and the electric distribution companies (EDCs),<sup>2</sup> DEEP has prepared this report, which evaluates (1) the distribution of funds for conservation and load management (C&LM) programs by the Connecticut Light & Power Company (CL&P); (2) the distribution of funds for C&LM programs by The United Illuminating Company (UI); and (3) the distribution of funds by CEFIA (formerly the Connecticut Clean Energy Fund) to drive investment and scale up clean energy deployment in Connecticut.

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<sup>1</sup> It should be noted that the Clean Energy Finance and Investment Authority became an independent quasi-public authority with the passage of Public Act 11-80 on July 1, 2011. Prior to July 1, 2011, programs funded by the Connecticut Clean Energy Fund were administered by Connecticut Innovations from the passage of PA 98-28 up until the effective date of PA 11-80. Any reference in this report to CEFIA activity or information prior to July 1, 2011 refers to programs administered by Connecticut Innovations.

<sup>2</sup> The 2012 C&LM Plan was submitted to DEEP by the Energy Efficiency Board on September 30, 2011. See 2012 C&LM Plan, available at [http://www.dpuc.state.ct.us/DEEP/Energy.nsf/c6c6d525f7cdd1168525797d0047c5bf/2fa1f8d01cfc0cc785257981007276d4/\\$FILE/2012%20CLM%20Electric%20and%20Gas%20Plan%20FINAL.pdf](http://www.dpuc.state.ct.us/DEEP/Energy.nsf/c6c6d525f7cdd1168525797d0047c5bf/2fa1f8d01cfc0cc785257981007276d4/$FILE/2012%20CLM%20Electric%20and%20Gas%20Plan%20FINAL.pdf).

As described below, this report compares the amounts contributed through rates by municipalities and customer classes to the amount of funds expended in those communities by CL&P, UI and CEFIA. Several features, common to both the C&LM and CEFIA programs, must be kept in mind when evaluating the equitable distribution of ratepayer funds by either program. Pursuant to legislative mandates, C&LM programs administered by the EEB and EDCs are funded in large part by a \$0.003/kWh, or 3 Mill, assessment on customer bills. Renewable energy projects administered by CEFIA are similarly funded, in part, by a \$0.001/kWh, or 1 Mill, assessment on customer bills. Other sources of funding for these programs include proceeds from the sale of allowances by the Regional Greenhouse Gas Initiative. All of these funding sources support the total budgets for C&LM and CEFIA programs, which allocate funds not only to programs that benefit specific customers or communities, but also to programs and expenses that have a generalized impact across the state, such as administrative costs, planning, research and development programs, and education and outreach programs. Therefore, the amount of funds contributed through rates by a municipality would not be expected to precisely match the amount of funds expended in that municipality by a C&LM or CEFIA program. Also, the amount of program “spending” in a given community is not directly controlled by the EDCs or CEFIA, but is driven by the level of customer participation in that community. To promote equitable distribution of program spending, therefore, the EDCs and CEFIA must encourage participation in underserved areas by marketing, outreach, and mentoring efforts. As noted in the conclusion of this report, DEEP will be seeking public input about best practices for the design of a mentoring component to support EDC and CEFIA program participation in underserved areas.

The data indicates that the EEB has provided sufficient oversight to ensure that utility spending for conservation matches reserves for each customer class. DEEP’s analysis found that in 2010, 29.07% (\$24.7M) of the total 3 Mill assessment was collected from customers in economically disadvantaged cities and towns to support C&LM programs. In that same year, customers from economically disadvantaged municipalities received 29.73% (\$25.0M) of total 2010 incentives through participation in C&LM programs. DEEP concludes the C&LM funds were reasonably equitably distributed to economically disadvantaged communities. In fact, on average, economically distressed communities received a slightly higher percentage of C&LM incentives than those municipalities contributed in 3 Mill assessments.

CEFIA has provided financial support in 142 of the 167 municipalities within the EDCs’ service territories.<sup>3</sup> During 2010, CEFIA collected 29.07% (\$8.2M) of its total 1 Mill assessments from distressed communities and disbursed a total of 15.45% (\$3M) in incentives to those communities related to five clean energy programs it administered in 2010. DEEP therefore concludes that CEFIA funds were not equitably distributed to economically disadvantaged communities in 2010. DEEP expects that CEFIA may face particular difficulty in promoting renewable energy program applications in distressed areas due to the difficulty of installing clean energy projects in multi-family structures with limited lot sizes and high density neighborhoods. However, the solar leasing program has had success and may continue to show growth in economically disadvantaged areas as CEFIA expands its education and outreach activities.

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<sup>3</sup> Norwich and Wallingford are not included in these counts because end-users in these municipalities are not assessed the 1 Mill charge by either EDC. Norwich and Wallingford are instead served by Norwich Public Utilities and the Town of Wallingford - Electric Division, respectively.

## II. METHODOLOGY

To prepare this report, DEEP analyzed C&LM and CEFIA data for calendar year 2010, the most recent year for which a full year of data was available at the time this report was prepared. Based on 2010 data, CL&P and UI together received funds equaling \$85,103,424 in 3 Mill collections. Therefore, in preparing this report, DEEP assumed that CEFIA received funds from the 1 Mill collection equivalent to  $\frac{1}{3}$  of the amount received by the EDCs, or \$28,367,808.

With respect to C&LM funds distributed by CL&P and UI, DEEP analyzed data regarding budgets and revenues by customer class for the year 2010, as provided by CL&P and UI in their 2012 Electric and Natural Gas Conservation and Load Management Plan (2012 C&LM Plan). With respect to renewable energy program funds administered by CEFIA, DEEP analyzed 2010 data for five CEFIA programs: the Residential and Small System Rebate Program, the CT Solar Lease Program, the On-Site Renewable Distributed Generation Program, the Clean Energy Communities Program, and the Community Innovations Grants Program.

Although Section 101 of the Act requires DEEP to evaluate the deployment of C&LM and CEFIA funds according to a 100 kW monthly peak demand threshold and by census tract, neither the EDCs nor CEFIA have historically compiled data by census tract. Prior to the enactment of Section 101, no requirement existed that necessitated the EDCs to collect such data by income-specific census tracts. DEEP finds it reasonable to evaluate the equitable distribution of funds using the economically distressed guidelines of the Department of Economic and Community Development (DECD) as a proxy in the absence of the availability of income-specific census tract data. Each year, the DECD prepares a list of 25 cities and towns it designated as distressed municipalities, as defined by Section 32-9p(b) of the General Statutes of Connecticut. Of the 25 distressed municipalities so designated for the year 2010, 19 are within CL&P's service territory: Bristol, Brooklyn, East Hartford, Enfield, Hartford, Killingly, Meriden, Naugatuck, New Britain, New London, North Canaan, Plainfield, Plymouth, Putnam, Sprague, Torrington, Waterbury, Winchester and Windham. Five municipalities – Ansonia, Bridgeport, Derby, New Haven and West Haven – are within UI's service territory, and one municipality, Norwich, is served by Norwich Public Utilities; however, CL&P has included data for Norwich.

DEEP notes that this is the first report of what will be an annual analysis under Section 101. DEEP will review subsequent reports, highlight trends and issue recommendations as appropriate to ensure maximum parity. DEEP anticipates that C&LM program participation is driven by demand and it is reasonable to expect that there may be wide variances year to year in spending levels across the state. In addition, smaller towns may experience extreme variations due to commercial customer program participation in sparsely populated communities as demonstrated by Tables 1, 2 and 3 of Appendix A. Therefore, DEEP will be better able to make conclusions about equitable distribution with the benefit of additional years of data.

### III. ANALYSIS OF FUNDING DISTRIBUTION

#### A. CONNECTICUT LIGHT & POWER COMPANY

In the 2012 C&LM Plan submitted to DEEP on September 30, 2011, CL&P provided detailed data of its C&LM budget and corresponding customer class revenue for 2010, the most recent full year of available data at that time.<sup>4</sup> Table 1 in Appendix B shows breakouts of income-eligible (low-income) residential customers, non-income-eligible residential customers, large commercial and industrial (C&I) customers, and small C&I customers. The data for 2010 demonstrates near parity between the program funding collected and the budgeted spending (incentives) allocated for each of those customer classes. Table 1 in Appendix A reflects the 3 Mill contributions and budgeted spending in 2010 for each municipality in CL&P's service territory, including the distressed municipalities.

According to the DECD's 2010 list of distressed municipalities, there are 19 such municipalities within CL&P's service area: Bristol, Brooklyn, East Hartford, Enfield, Hartford, Killingly, Meriden, Naugatuck, New Britain, New London, North Canaan, Plainfield, Plymouth, Putnam, Sprague, Torrington, Waterbury, Winchester and Windham. As shown in Table 3 of Appendix A, on an individualized town-by-town basis, the percentage disparities experienced by CL&P reasonably demonstrate near parity between the percentage collected from a given town and the percentage it received. In fact, the percentage differences for each category (small load customers, high load customers and all customers combined) rarely amounts to more than a percentage point. In fact, the percentages, more often than not, demonstrate that the percentages of incentives received are slightly greater than the percentages of assessments collected.

CL&P		Customers < 100 kW		Customers ≥ 100 kW		All Customers	
		3 Mill Collections	Incentives	3 Mill Collections	Incentives	3 Mill Collections	Incentives
Distressed Towns	Amount	\$8,044,013	\$7,699,642	\$9,423,690	\$10,209,318	\$17,467,704	\$17,908,960
	% of Total	22.75%	23.94%	28.80%	31.26%	25.65%	27.63%
Non-Distressed Towns	Amount	\$27,319,062	\$24,458,251	\$23,302,533	\$22,447,646	\$50,621,595	\$46,905,898
	% of Total	77.25%	76.06%	71.20%	68.74%	74.35%	72.37%
Total	Amount	\$35,363,075	\$32,157,893	\$32,726,224	\$32,656,965	\$68,089,299	\$64,814,858
	% of Total	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%

The summary table above demonstrates that CL&P customers from distressed communities, whose maximum average peak demand was less than 100 kW, contributed 22.75% (\$8M) of total 3 Mill contributions in 2010. CL&P expended 23.94% (\$7.7M) of total incentives to customers in distressed communities whose maximum average peak demand was less than 100 kW. CL&P customers from distressed communities, whose maximum average peak demand was greater than 100 kW, contributed 28.8% (\$9.4M) of total 3 Mill contributions in 2010. CL&P expended 31.26% (\$10.2M) of total incentives to customers in distressed communities whose maximum average peak demand was greater than 100 kW.

On an overall basis, CL&P collected 25.65% (\$17.5M) of total 3 Mill contributions from distressed communities, and expended 27.63% (\$17.9M) of total incentives to those communities.

<sup>4</sup> 2012 C&LM Plan, p. 29.

The 2010 data demonstrates that CL&P generally administers available funds on a reasonably equitable basis throughout its service territory. While DEEP is encouraged by CL&P's results, DEEP requests that CL&P analyze whether this pattern continued in 2011 and consider placing greater emphasis on outreach activities in those municipalities that did not fare as well as others (i.e., Bristol, Meriden and Torrington).

**B. THE UNITED ILLUMINATING COMPANY**

In the 2012 C&LM Plan, UI provided data and analysis concerning its C&LM budget and corresponding customer class revenue for 2010.<sup>5</sup> UI's submitted budgets and revenues demonstrate parity. UI also provided data for its 3 Mill collections and incentives according to the 100 kW peak demand threshold. See Table 2 in Appendix A. Like CL&P, UI's data is presently available on a town-by-town basis only, not by income-specific census tracts.

According to the DECD's 2010 list of distressed municipalities, there are five such municipalities within UI's service area: Ansonia, Bridgeport, Derby, New Haven and West Haven. Relative to these municipalities only, as shown in Table 3 of Appendix A, UI expended significantly less in incentives than it collected in 3 Mill funding.

UI		Customers < 100 kW		Customers ≥ 100 kW		All Customers	
		3 Mill Collections	Incentives	3 Mill Collections	Incentives	3 Mill Collections	Incentives
Distressed Towns	Amount	\$3,578,819	\$4,052,527	\$3,692,968	\$3,053,227	\$7,271,787	\$7,105,754
	% of Total	<b>39.72%</b>	<b>38.11%</b>	<b>46.13%</b>	<b>35.13%</b>	<b>42.74%</b>	<b>36.77%</b>
Non-Distressed Towns	Amount	\$5,430,329	\$6,582,630	\$4,312,009	\$5,637,974	\$9,742,338	\$12,220,604
	% of Total	60.28%	61.89%	53.87%	64.87%	57.26%	63.23%
Total	Amount	\$9,009,148	\$10,635,157	\$8,004,977	\$8,691,201	\$17,014,125	\$19,326,358
	% of Total	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%

As shown in the summary table above, UI collected 42.74% (\$7.3M) in total 3 Mill contributions from the five distressed communities within its service area and distributed 36.77% (\$7.1M) of incentives to these distressed communities. UI collected 39.72% (\$3.6M) of total 3 Mill contributions from customers in economically distressed towns whose maximum average peak demand was less than 100 kW. UI distributed 38.11% (\$4M) of total incentives back to this customer grouping. UI collected 46.13% (\$3.7M) of total 3 Mill contributions from customers in economically distressed towns whose maximum average peak demand was greater than 100 kW and distributed 35.13% (\$3M) of total incentives back to this customer grouping, a significant disparity.

For distressed municipalities in UI's service area, the disparities in the percentages of incentives received versus the percentages of incentives disbursed are more striking than those of the distressed communities in CL&P's service area. As demonstrated by Table 3 of Appendix A, the percentages in 1 Mill collections from small load customers surpass the percentages in incentives disbursed in Ansonia, Derby, New Haven and West Haven; however, the percentage in incentives does significantly exceed the percentage in collections in Bridgeport (19.04% vs. 14.85%). For large load customers, UI's percentage of incentive spending in the municipalities

<sup>5</sup> 2012 C&LM Plan, p. 37.

of Ansonia, Bridgeport, Derby and West Haven slightly exceed the percentages in collections; however, New Haven received just 11.07% of total incentives although it contributed 26.77% of total 3 Mill collections. For all customers combined, Ansonia, Bridgeport and Derby received more incentives by percentage than collections, whereas New Haven and West Haven were assessed more in 3 Mill collections by percentage than the percentages of incentives they received.

The percentage disparities experienced by UI may largely be due to the fact that UI has disproportionately fewer towns in its service area than CL&P does. In other words, larger percentages tend to result when there are a lesser number of towns taking a piece of the total amount. Nonetheless, DEEP suggests that UI place greater focus on its outreach efforts in its distressed municipalities to drive stronger participation in UI's C&LM programs and to reduce the percentage disparities between its 3 Mill collections and incentives.

### **C. CUSTOMER BREAKDOWN BY AVERAGE MONTHLY PEAK DEMAND OF 100 kW**

Section 101 of the Act requires DEEP to review the equitable deployment of C&LM funds among small and large customers with “a maximum average monthly peak demand of one hundred kilowatts in census tracts in which the median income is not more than sixty percent of the state median income.” While the EDCs’ 2010 data understandably does not align with the census tract requirement, both CL&P and UI were able to submit data that differentiated participation according to that 100 kW peak demand threshold. It must be noted, however, that customers are simply aggregated according to that threshold, regardless of whether they are residential customers or commercial and industrial (C&I) customers, as demonstrated by Tables 1, 2 and 3 of Appendix A. DEEP believes that its review may benefit if the required data is also presented according to customer class within each threshold grouping. Therefore, DEEP recommends that future filings also separate the data by customer class.

### **D. CLEAN ENERGY FINANCE AND INVESTMENT AUTHORITY**

Section 99 of the Act established CEFIA and integrated the Connecticut Clean Energy Fund (CCEF) into CEFIA. CEFIA is a quasi-public agency established to (1) develop separate programs to finance and otherwise support clean energy investment in residential, municipal, small business and larger commercial projects and such others as the authority may determine; (2) support financing or other expenditures that promote investment in clean energy sources in accordance with a comprehensive plan developed by it to foster the growth, development and commercialization of clean energy sources and related enterprises; and (3) stimulate demand for clean energy and the deployment of clean energy sources within the state that serve end-use customers in the state.

For this report, CEFIA provided data on CCEF’s expenditure of funds in 2010 in five renewable energy programs: the Solar Lease Program, the Residential and Small System Rebate Program, the On-Site Renewable Distributed Generation Program, the Clean Energy Communities Program, and the Community Innovations Grants Program. These programs are described as follows:

- **Residential and Small System Rebate Program.** Under CCEF’s successful Residential and Small System Rebate Program (Rebate Program), rebates are offered

through designated participating installers for Connecticut residents that install solar PV systems of 10 kW or less on their homes, including the Solar Lease Program.

- **Solar Lease Program.** CCEF introduced the CT Solar Lease Program (Lease Program) in July 2008. The Lease Program enabled low- and moderate-income homeowners to participate in the benefits of having a solar PV system on their homes without having to make a major, and potentially prohibitive, initial investment. The Lease Program offered an opportunity to lease a solar array on terms through which homeowners can realize energy cost savings immediately. To partially fund the leases, CCEF/CEFIA provided ratepayer funds in the form of a rebate and debt financing. The Lease Program was initially offered as a three-year program; however, the CCEF Board of Directors elected to extend its availability to December 31, 2011.
- **On-Site Renewable Distributed Generation (OSDG) Program** is a flexible, integrated-technology, financial support program designed to stimulate demand for “behind the meter” installations of renewable energy at commercial, industrial, institutional, not-for-profit, and governmental buildings, and affordable housing in Connecticut. The OSDG Program provides grants for a variety of fuels or technologies: solar PV energy, solar thermal, geothermal energy, wind, fuel cells, landfill gas, certain kinds of hydropower, hydrogen production and conversion technologies, low-emission advanced biomass conversion technologies, alternative fuels used for electricity generation, combined heat and power systems, and thermal storage systems.
- **Clean Energy Communities (CEC) Program** provides Connecticut communities an opportunity to support clean renewable energy. By meeting certain criteria, a town can qualify for a free clean energy system (solar PV, wind or solar thermal).
- **Community Innovations Grants (CIG) Program** targets citizens with an interest in supporting clean energy. It awards funding to cities and towns, and that funding is managed by a local energy task force (council or commission). In turn, through a grant-giving process, the energy task forces provides micro-grants between \$250 and \$2,000 to organizations and citizens motivated to start local projects that support clean energy awareness and education within their communities.

CEFIA has provided significant education and outreach to many of the distressed communities including sponsorship of large events, exhibiting at community Earth Day, green fairs and other environmental events and presentations at local cable and radio shows and libraries. In addition, 13 of the 25 distressed municipalities are active in the CEC Program. While not all received funding in 2010, they accrued points towards qualifying for a CEFIA-funded clean energy system.

CEFIA’s data was compiled according to the municipalities in which the projects are installed, not by census tracts. As with the data provided by CL&P and UI, DEEP has used as a reasonable proxy the DECD’s 2010 list of 25 municipalities designated as a distressed municipality. CEFIA receives ratepayer funding for its programs through a 1 Mill per kWh surcharge on electric bills issued by CL&P and UI. For 2010, DEEP assumed that the 1 Mill

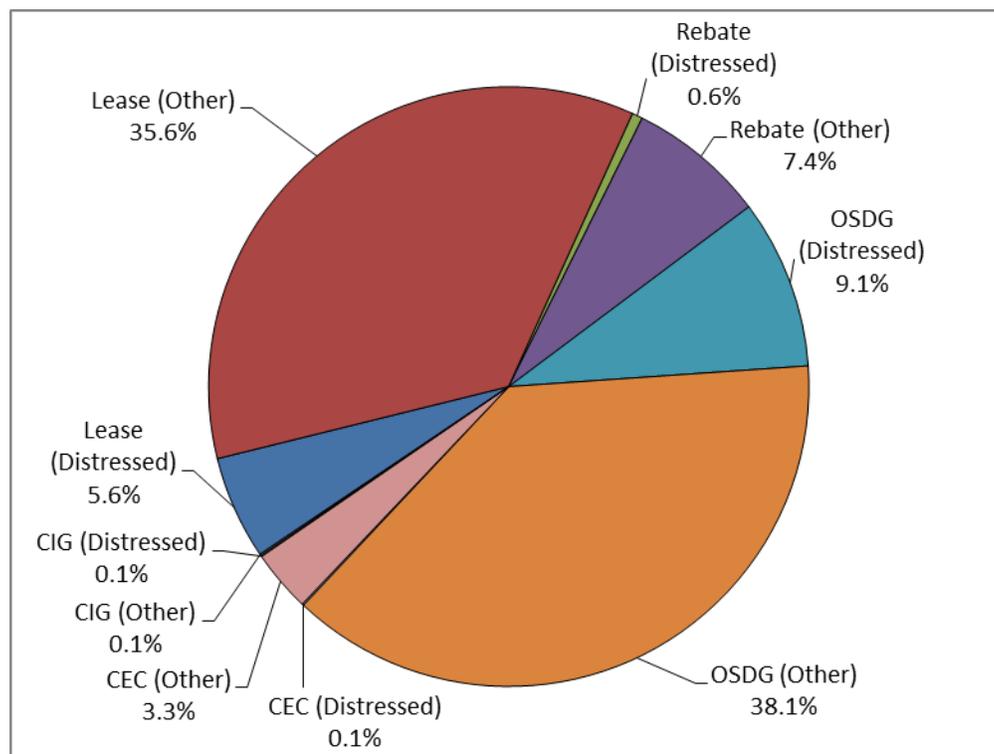
collections amounted to \$28,367,808. CEFIA programs are also funded by equity generated from the monetization of the 30% federal income tax credits for residential solar PV systems, as well as proceeds from the sale of allowances by the Regional Greenhouse Gas Initiative (RGGI).

As shown in the following table, CEFIA collected 29.07% (\$8.2M) of 1 Mill contributions from distressed communities and disbursed a total of 15.45% (\$3M) in incentives to those communities in 2010.

CEFIA		1 Mill Collection	Incentives
<b>Distressed Towns</b>	Amount	\$8,246,497	\$2,983,762
	% of Total	<b>29.07%</b>	<b>15.45%</b>
Non-Distressed Towns	Amount	\$20,121,311	\$16,332,964
	% of Total	70.93%	84.55%
Total	Amount	\$28,367,808	\$19,316,726
	% of Total	100.00%	100.00%

The chart and table below break down the total amount disbursed, by program. The total amount of incentives for each program is further broken down to reflect the amount of incentives that was spent in distressed municipalities (“Distressed”) versus all other non-distressed municipalities (“Other”).

**2010 CEFIA Incentives by Program**



<u>Program</u>	<u>Incentives</u>	<u>% of Total Incentives</u>
Lease Program (Distressed)	\$1,078,577	5.6%
<u>Lease Program (Other)</u>	<u>\$6,882,512</u>	<u>35.6%</u>
Lease Program Sub-Total	\$7,961,088	41.2%
Rebate Program (Distressed)	\$108,876	0.6%
<u>Rebate Program (Other)</u>	<u>\$1,434,254</u>	<u>7.4%</u>
Rebate Program Sub-Total	\$1,543,130	8.0%
OSDG Program (Distressed)	\$1,765,239	9.1%
<u>OSDG Program (Other)</u>	<u>\$7,359,334</u>	<u>38.1%</u>
OSDG Program Sub-Total	\$9,124,573	47.2%
CEC Program (Distressed)	\$17,070	0.1%
<u>CEC Program (Other)</u>	<u>\$642,865</u>	<u>3.3%</u>
CEC Program Sub-Total	\$659,935	3.4%
CIG Program (Distressed)	\$14,000	0.1%
<u>CIG Program (Other)</u>	<u>\$14,000</u>	<u>0.1%</u>
CIG Program Sub-Total	\$28,000	0.1%
2010 Total Incentives	\$19,316,726	100.0%

Of the total \$19.3M in funds expended by CEFIA in 2010, approximately 49.2% was spent on the two programs for residential customers (Lease Program and Rebate Program), who provided 42.59% of CL&P's 1 Mill collections and 38.11% of UI's.<sup>6</sup> The remaining 50.8% was spent towards the OSDG, CEC, and CIG programs. Commercial and industrial customers provided 57.41% and 61.89% of CEFIA funding raised through the 1 Mill collections by CL&P and UI, respectively.

Table 4 in Appendix A summarizes for each municipality the incentives administered for each of these five programs. The 25 distressed municipalities are highlighted. As the table shows, in 2010 CEFIA provided support for renewable energy projects in all but 25 of the 169 municipalities in Connecticut. The distribution of funds is less uniform for the commercial program due to the smaller overall number of projects and the larger size of each project. Participation in rural towns is weak among commercial and industrial customers but seems quite strong for residential customers.

As shown in Table 4 in Appendix A, CEFIA has spent over \$2.98M of total incentives providing support for renewable projects in 22 of Connecticut's 25 distressed towns and cities in 2010, incentives were disbursed in the distressed municipalities of Derby, North Canaan and Norwich, but it bears reiterating that Norwich does not contribute to the 1 Mill collections, because end users in that city are served instead by Norwich Public Utilities. This represents almost 15.45% of total spending for these five programs which, compared to approximately 29.07% of the 1 Mill collections generated by these 25 municipalities, represents a significant

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<sup>6</sup> The 1 Mill per kWh surcharge that goes towards CEFIA funding is applied to CL&P's and UI's customer bills in the same manner as the 3 Mill per kWh surcharge. Therefore, the revenue percentage split in 1 Mill collections between the residential customers and the commercial and industrial customers is equivalent to the percentage split calculated for 3 Mill collections. Based on 2010 data provided by CL&P and UI, the percentage split is 42.59%/57.41% for CL&P and 38.11%/61.89% for UI.

disparity between the program funding collected and the incentives distributed. Therefore, DEEP recommends that CEFIA place a greater emphasis on increasing its outreach efforts to economically disadvantaged communities in order to encourage participation in CEFIA's clean energy and energy efficiency initiatives.

#### **IV. TRACKING BY CENSUS TRACTS**

Section 101 of the Act seeks the determination of equitable administration of funding in census tracts with median income of not more than 60% of the state median income. However, as described above, data presented by CL&P, UI, and CEFIA for this report predates the enactment of the Act and is not tracked by census tracts. Under these circumstances, DEEP was therefore unable to make a determination with respect to low-income census tracts. At best, the electric utilities were able to aggregate residential C&LM data according to eligibility for low-income assistance programs, and the electric utilities and CEFIA presented funding data on a town-by-town basis.

Going forward, DEEP requests that CL&P, UI, and CEFIA adjust how they compile their funding data in order to ensure that they can track spending by census tract in accordance with Section 101. The provision of data by census tract will allow for the analysis of spending levels throughout various areas or neighborhoods, which is particularly informative in large cities. To the extent that there is concern, DEEP emphasizes that the data collected will be analyzed according to geographic neighborhoods or tracts and will not need to reflect the income or ethnicity of any customer base. DEEP also encourages all three entities to develop a proposal as to how to collectively facilitate compliance with Section 101. At a minimum, each entity should assess to what extent they are capable of meeting that requirement, and file the results of their assessment with DEEP.

#### **V. MENTORING**

As part of the finalization of this report, DEEP will hold a public meeting to invite input and participation in the design of the mentoring component of Section 101 of the Act. DEEP will solicit input from legislators on the workforce initiative specified and will welcome comment from the EDCs, Home Energy Solutions (HES), and other interested parties. HES has already created a foundation for educating and training skilled technicians from low income communities to conduct HES audits.

#### **VI. CONCLUSION**

The effectiveness of C&LM and CEFIA programs depends on strong program participation, ensuring that ratepayers in every community receive benefits in terms of efficiency and renewable incentives that match their contributions to these programs. The EDCs and CEFIA must ensure that they utilize marketing efforts and other mechanisms to drive participation in underserved areas. This is particularly important in light of the expansion of C&LM programs. DEEP appreciates the cooperation of the EDCs and CEFIA in providing data for this first annual evaluation of the equitable distribution of funds, and in transitioning to collecting data by census tract to facilitate compliance with Section 101 reporting requirements in future reports.

## APPENDIX A

**Table 1**  
Budget Parity Analysis for Municipalities in CL&P Service Territory  
*Distressed municipalities are highlighted*

CL&P 2010 City/Town	Customers <100kW				Customers ≥100kW				All Customers			
	3 Mill Collections	Incentives	% of 3 Mill	% of Incent.	3 Mill Collections	Incentives	% of 3 Mill	% of Incent.	3 Mill Collections	Incentives	% of 3 Mill	% of Incent.
Andover	\$38,641	\$34,137	0.11%	0.11%	\$7,335	\$7,002	0.02%	0.02%	\$45,976	\$41,139	0.07%	0.06%
Ashford	\$55,065	\$67,410	0.16%	0.21%	\$14,381	\$19,024	0.04%	0.06%	\$69,446	\$86,434	0.10%	0.13%
Avon	\$288,575	\$204,549	0.82%	0.64%	\$220,435	\$168,839	0.67%	0.52%	\$509,010	\$373,388	0.75%	0.58%
Barkhamsted	\$45,765	\$22,291	0.13%	0.07%	\$17,342	\$9,127	0.05%	0.03%	\$63,107	\$31,419	0.09%	0.05%
Beacon Falls	\$70,025	\$66,123	0.20%	0.21%	\$27,908	\$28,476	0.09%	0.09%	\$97,933	\$94,599	0.14%	0.15%
Berlin	\$249,040	\$350,772	0.70%	1.09%	\$333,416	\$507,453	1.02%	1.55%	\$582,456	\$858,224	0.86%	1.32%
Bethany	\$72,905	\$62,895	0.21%	0.20%	\$23,822	\$22,207	0.07%	0.07%	\$96,727	\$85,102	0.14%	0.13%
Bethel	\$240,638	\$99,302	0.68%	0.31%	\$212,446	\$94,732	0.65%	0.29%	\$453,084	\$194,035	0.67%	0.30%
Bethlehem	\$53,011	\$37,997	0.15%	0.12%	\$6,025	\$4,666	0.02%	0.01%	\$59,036	\$42,664	0.09%	0.07%
Bloomfield	\$275,747	\$231,548	0.78%	0.72%	\$554,268	\$502,926	1.69%	1.54%	\$830,015	\$734,474	1.22%	1.13%
Bolton	\$63,731	\$60,599	0.18%	0.19%	\$19,296	\$19,826	0.06%	0.06%	\$83,027	\$80,425	0.12%	0.12%
Branford	\$376,500	\$455,162	1.06%	1.42%	\$305,744	\$399,405	0.93%	1.22%	\$682,244	\$854,567	1.00%	1.32%
Bridgewater	\$34,434	\$38,817	0.10%	0.12%	\$3,129	\$3,811	0.01%	0.01%	\$37,562	\$42,628	0.06%	0.07%
Bristol	\$750,843	\$463,693	2.12%	1.44%	\$767,743	\$512,332	2.35%	1.57%	\$1,518,585	\$976,025	2.23%	1.51%
Brookfield	\$248,543	\$566,712	0.70%	1.76%	\$196,446	\$484,015	0.60%	1.48%	\$444,989	\$1,050,727	0.65%	1.62%
Brooklyn	\$90,966	\$46,234	0.26%	0.14%	\$34,438	\$18,914	0.11%	0.06%	\$125,404	\$65,148	0.18%	0.10%
Burlington	\$122,808	\$91,113	0.35%	0.28%	\$19,091	\$15,305	0.06%	0.05%	\$141,898	\$106,419	0.21%	0.16%
Canaan	\$19,529	\$11,296	0.06%	0.04%	\$11,116	\$6,948	0.03%	0.02%	\$30,645	\$18,243	0.05%	0.03%
Canterbury	\$60,813	\$54,079	0.17%	0.17%	\$10,016	\$9,624	0.03%	0.03%	\$70,828	\$63,704	0.10%	0.10%
Canton	\$129,462	\$100,089	0.37%	0.31%	\$78,318	\$65,427	0.24%	0.20%	\$207,780	\$165,516	0.31%	0.26%
Chaplin	\$30,151	\$16,927	0.09%	0.05%	\$5,718	\$3,469	0.02%	0.01%	\$35,870	\$20,396	0.05%	0.03%
Cheshire	\$399,067	\$470,818	1.13%	1.46%	\$427,873	\$545,477	1.31%	1.67%	\$826,941	\$1,016,296	1.21%	1.57%
Chester	\$56,769	\$39,262	0.16%	0.12%	\$43,721	\$32,675	0.13%	0.10%	\$100,491	\$71,937	0.15%	0.11%
Clinton	\$181,907	\$219,722	0.51%	0.68%	\$120,501	\$157,278	0.37%	0.48%	\$302,407	\$377,001	0.44%	0.58%
Colchester	\$190,283	\$214,468	0.54%	0.67%	\$74,913	\$91,238	0.23%	0.28%	\$265,196	\$305,706	0.39%	0.47%
Colebrook	\$18,856	\$12,307	0.05%	0.04%	\$3,483	\$2,457	0.01%	0.01%	\$22,339	\$14,763	0.03%	0.02%
Columbia	\$68,436	\$51,317	0.19%	0.16%	\$20,496	\$16,607	0.06%	0.05%	\$88,932	\$67,924	0.13%	0.10%

CL&P 2010 City/Town	Customers <100kW				Customers ≥100kW				All Customers			
	3 Mill Collections	Incentives	% of 3 Mill	% of Incent.	3 Mill Collections	Incentives	% of 3 Mill	% of Incent.	3 Mill Collections	Incentives	% of 3 Mill	% of Incent.
Cornwall	\$28,669	\$24,577	0.08%	0.08%	\$7,879	\$7,299	0.02%	0.02%	\$36,549	\$31,875	0.05%	0.05%
Coventry	\$145,332	\$163,555	0.41%	0.51%	\$26,411	\$32,118	0.08%	0.10%	\$171,743	\$195,672	0.25%	0.30%
Cromwell	\$187,203	\$208,600	0.53%	0.65%	\$201,279	\$242,357	0.62%	0.74%	\$388,482	\$450,957	0.57%	0.70%
Danbury	\$1,069,452	\$1,308,760	3.02%	4.07%	\$1,173,864	\$1,552,281	3.59%	4.75%	\$2,243,316	\$2,861,040	3.29%	4.41%
Darien	\$366,649	\$213,872	1.04%	0.67%	\$196,817	\$124,057	0.60%	0.38%	\$563,466	\$337,929	0.83%	0.52%
Deep River	\$74,324	\$112,075	0.21%	0.35%	\$49,053	\$79,929	0.15%	0.24%	\$123,377	\$192,005	0.18%	0.30%
Durham	\$95,769	\$162,514	0.27%	0.51%	\$50,173	\$92,000	0.15%	0.28%	\$145,941	\$254,514	0.21%	0.39%
East Granby	\$70,421	\$28,105	0.20%	0.09%	\$178,962	\$77,178	0.55%	0.24%	\$249,383	\$105,284	0.37%	0.16%
East Haddam	\$117,092	\$103,888	0.33%	0.32%	\$25,247	\$24,205	0.08%	0.07%	\$142,339	\$128,093	0.21%	0.20%
East Hampton	\$166,097	\$139,173	0.47%	0.43%	\$42,078	\$38,098	0.13%	0.12%	\$208,175	\$177,271	0.31%	0.27%
East Hartford	\$712,664	\$546,312	2.02%	1.70%	\$482,690	\$399,832	1.47%	1.22%	\$1,195,354	\$946,144	1.76%	1.46%
East Lyme	\$270,827	\$144,975	0.77%	0.45%	\$136,061	\$78,702	0.42%	0.24%	\$406,888	\$223,677	0.60%	0.35%
East Windsor	\$140,461	\$102,527	0.40%	0.32%	\$163,728	\$129,140	0.50%	0.40%	\$304,190	\$231,667	0.45%	0.36%
Eastford	\$20,850	\$7,211	0.06%	0.02%	\$14,353	\$5,364	0.04%	0.02%	\$35,203	\$12,575	0.05%	0.02%
Ellington	\$191,743	\$178,310	0.54%	0.55%	\$86,837	\$87,260	0.27%	0.27%	\$278,580	\$265,570	0.41%	0.41%
Enfield	\$462,281	\$731,661	1.31%	2.28%	\$533,776	\$912,888	1.63%	2.80%	\$996,057	\$1,644,549	1.46%	2.54%
Essex	\$115,268	\$79,226	0.33%	0.25%	\$68,378	\$50,784	0.21%	0.16%	\$183,646	\$130,010	0.27%	0.20%
Fairfield	\$218	\$2,037	0.00%	0.01%	\$0	\$0	0.00%	0.00%	\$218	\$2,037	0.00%	0.00%
Farmington	\$410,615	\$257,862	1.16%	0.80%	\$777,825	\$527,823	2.38%	1.62%	\$1,188,440	\$785,684	1.75%	1.21%
Franklin	\$26,602	\$9,960	0.08%	0.03%	\$24,086	\$9,745	0.07%	0.03%	\$50,688	\$19,705	0.07%	0.03%
Glastonbury	\$423,890	\$556,456	1.20%	1.73%	\$312,322	\$443,031	0.95%	1.36%	\$736,212	\$999,487	1.08%	1.54%
Goshen	\$50,642	\$55,710	0.14%	0.17%	\$5,861	\$6,967	0.02%	0.02%	\$56,503	\$62,677	0.08%	0.10%
Granby	\$143,189	\$93,418	0.40%	0.29%	\$53,084	\$37,423	0.16%	0.11%	\$196,273	\$130,842	0.29%	0.20%
Greenwich	\$1,684,380	\$464,534	4.76%	1.44%	\$907,999	\$270,593	2.77%	0.83%	\$2,592,379	\$735,128	3.81%	1.13%
Griswold	\$102,569	\$39,808	0.29%	0.12%	\$11,273	\$4,728	0.03%	0.01%	\$113,843	\$44,535	0.17%	0.07%
Groton	\$221,174	\$15,693	0.63%	0.05%	\$193,429	\$14,830	0.59%	0.05%	\$414,603	\$30,523	0.61%	0.05%
Guilford	\$327,666	\$244,956	0.93%	0.76%	\$136,823	\$110,527	0.42%	0.34%	\$464,488	\$355,483	0.68%	0.55%
Haddam	\$108,142	\$131,068	0.31%	0.41%	\$29,594	\$38,758	0.09%	0.12%	\$137,737	\$169,826	0.20%	0.26%
Hamden	\$526	\$1,261	0.00%	0.00%	\$0	\$0	0.00%	0.00%	\$526	\$1,261	0.00%	0.00%
Hampton	\$23,475	\$13,195	0.07%	0.04%	\$2,470	\$1,500	0.01%	0.00%	\$25,945	\$14,695	0.04%	0.02%
Hartford	\$1,156,473	\$1,233,774	3.27%	3.84%	\$2,484,691	\$2,864,353	7.59%	8.77%	\$3,641,164	\$4,098,127	5.35%	6.32%
Hartland	\$23,072	\$7,313	0.07%	0.02%	\$2,198	\$753	0.01%	0.00%	\$25,269	\$8,066	0.04%	0.01%
Harwinton	\$72,323	\$38,008	0.20%	0.12%	\$16,435	\$9,333	0.05%	0.03%	\$88,758	\$47,341	0.13%	0.07%
Hebron	\$112,848	\$78,683	0.32%	0.24%	\$29,732	\$22,401	0.09%	0.07%	\$142,579	\$101,084	0.21%	0.16%

CL&P 2010 City/Town	Customers <100kW				Customers ≥100kW				All Customers			
	3 Mill Collections	Incentives	% of 3 Mill	% of Incent.	3 Mill Collections	Incentives	% of 3 Mill	% of Incent.	3 Mill Collections	Incentives	% of 3 Mill	% of Incent.
Kent	\$66,133	\$80,355	0.19%	0.25%	\$29,826	\$39,160	0.09%	0.12%	\$95,959	\$119,515	0.14%	0.18%
Killingly	\$242,824	\$247,120	0.69%	0.77%	\$276,241	\$303,780	0.84%	0.93%	\$519,065	\$550,900	0.76%	0.85%
Killingworth	\$91,220	\$95,877	0.26%	0.30%	\$14,457	\$16,420	0.04%	0.05%	\$105,677	\$112,297	0.16%	0.17%
Lebanon	\$56,714	\$33,119	0.16%	0.10%	\$26,846	\$16,940	0.08%	0.05%	\$83,560	\$50,059	0.12%	0.08%
Ledyard	\$68,830	\$10,063	0.19%	0.03%	\$607,468	\$95,968	1.86%	0.29%	\$676,298	\$106,031	0.99%	0.16%
Lisbon	\$53,178	\$69,180	0.15%	0.22%	\$54,002	\$75,912	0.17%	0.23%	\$107,181	\$145,093	0.16%	0.22%
Litchfield	\$130,110	\$133,041	0.37%	0.41%	\$63,955	\$70,665	0.20%	0.22%	\$194,065	\$203,706	0.29%	0.31%
Lyme	\$45,003	\$16,194	0.13%	0.05%	\$2,380	\$926	0.01%	0.00%	\$47,384	\$17,119	0.07%	0.03%
Madison	\$291,387	\$182,320	0.82%	0.57%	\$92,526	\$62,558	0.28%	0.19%	\$383,913	\$244,878	0.56%	0.38%
Manchester	\$612,193	\$744,054	1.73%	2.31%	\$829,607	\$1,089,538	2.53%	3.34%	\$1,441,800	\$1,833,592	2.12%	2.83%
Mansfield	\$186,184	\$418,670	0.53%	1.30%	\$115,506	\$280,666	0.35%	0.86%	\$301,690	\$699,336	0.44%	1.08%
Marlborough	\$81,164	\$65,861	0.23%	0.20%	\$21,555	\$18,900	0.07%	0.06%	\$102,720	\$84,762	0.15%	0.13%
Meriden	\$687,198	\$509,531	1.94%	1.58%	\$736,787	\$590,316	2.25%	1.81%	\$1,423,985	\$1,099,847	2.09%	1.70%
Middlebury	\$111,879	\$130,147	0.32%	0.40%	\$78,624	\$98,831	0.24%	0.30%	\$190,503	\$228,979	0.28%	0.35%
Middlefield	\$56,236	\$69,599	0.16%	0.22%	\$72,189	\$96,540	0.22%	0.30%	\$128,425	\$166,138	0.19%	0.26%
Middletown	\$658,754	\$918,862	1.86%	2.86%	\$621,513	\$936,766	1.90%	2.87%	\$1,280,267	\$1,855,628	1.88%	2.86%
Monroe	\$239,920	\$183,705	0.68%	0.57%	\$137,922	\$114,115	0.42%	0.35%	\$377,842	\$297,820	0.55%	0.46%
Montville	\$381,369	\$421,593	1.08%	1.31%	\$155,064	\$185,231	0.47%	0.57%	\$536,433	\$606,824	0.79%	0.94%
Morris	\$34,289	\$34,001	0.10%	0.11%	\$17,072	\$18,293	0.05%	0.06%	\$51,361	\$52,293	0.08%	0.08%
Naugatuck	\$360,732	\$542,461	1.02%	1.69%	\$245,336	\$398,657	0.75%	1.22%	\$606,068	\$941,118	0.89%	1.45%
New Britain	\$615,313	\$734,474	1.74%	2.28%	\$718,634	\$926,920	2.20%	2.84%	\$1,333,947	\$1,661,394	1.96%	2.56%
New Canaan	\$443,464	\$290,650	1.25%	0.90%	\$140,901	\$99,788	0.43%	0.31%	\$584,365	\$390,438	0.86%	0.60%
New Fairfield	\$202,308	\$119,619	0.57%	0.37%	\$36,919	\$23,588	0.11%	0.07%	\$239,227	\$143,207	0.35%	0.22%
New Hartford	\$85,587	\$125,986	0.24%	0.39%	\$48,184	\$76,643	0.15%	0.23%	\$133,771	\$202,629	0.20%	0.31%
New London	\$277,299	\$463,581	0.78%	1.44%	\$551,849	\$996,900	1.69%	3.05%	\$829,148	\$1,460,481	1.22%	2.25%
New Milford	\$411,077	\$381,164	1.16%	1.19%	\$213,482	\$213,896	0.65%	0.65%	\$624,559	\$595,061	0.92%	0.92%
Newington	\$334,181	\$228,777	0.95%	0.71%	\$535,717	\$396,296	1.64%	1.21%	\$869,898	\$625,073	1.28%	0.96%
Newtown	\$372,473	\$219,911	1.05%	0.68%	\$213,361	\$136,120	0.65%	0.42%	\$585,834	\$356,031	0.86%	0.55%
Norfolk	\$24,696	\$19,201	0.07%	0.06%	\$7,172	\$6,025	0.02%	0.02%	\$31,867	\$25,226	0.05%	0.04%
North Branford	\$1,275	\$1,068	0.00%	0.00%	\$0	\$0	0.00%	0.00%	\$1,275	\$1,068	0.00%	0.00%
North Canaan	\$47,782	\$6,087	0.14%	0.02%	\$187,032	\$25,746	0.57%	0.08%	\$234,814	\$31,833	0.34%	0.05%
No. Stonington	\$69,681	\$38,827	0.20%	0.12%	\$44,352	\$26,705	0.14%	0.08%	\$114,033	\$65,533	0.17%	0.10%
Norwalk	\$906,588	\$1,379,856	2.56%	4.29%	\$867,269	\$1,426,370	2.65%	4.37%	\$1,773,857	\$2,806,226	2.61%	4.33%
Norwich	\$176	\$3,926	0.00%	0.01%	\$120	\$2,888	0.00%	0.01%	\$295	\$6,813	0.00%	0.01%

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Old Lyme	\$135,775	\$100,474	0.38%	0.31%	\$48,397	\$38,699	0.15%	0.12%	\$184,172	\$139,173	0.27%	0.21%
Old Saybrook	\$179,272	\$200,606	0.51%	0.62%	\$148,831	\$179,961	0.45%	0.55%	\$328,103	\$380,568	0.48%	0.59%
Oxford	\$159,563	\$104,053	0.45%	0.32%	\$63,338	\$44,631	0.19%	0.14%	\$222,900	\$148,684	0.33%	0.23%
Plainfield	\$175,167	\$122,965	0.50%	0.38%	\$163,978	\$124,386	0.50%	0.38%	\$339,145	\$247,352	0.50%	0.38%
Plainville	\$232,729	\$236,434	0.66%	0.74%	\$239,589	\$263,016	0.73%	0.81%	\$472,318	\$499,450	0.69%	0.77%
Plymouth	\$150,560	\$138,955	0.43%	0.43%	\$44,232	\$44,112	0.14%	0.14%	\$194,792	\$183,068	0.29%	0.28%
Pomfret	\$50,335	\$14,162	0.14%	0.04%	\$53,313	\$16,209	0.16%	0.05%	\$103,648	\$30,371	0.15%	0.05%
Portland	\$114,033	\$146,954	0.32%	0.46%	\$68,340	\$95,166	0.21%	0.29%	\$182,373	\$242,120	0.27%	0.37%
Preston	\$63,071	\$40,829	0.18%	0.13%	\$17,647	\$12,344	0.05%	0.04%	\$80,718	\$53,173	0.12%	0.08%
Prospect	\$116,481	\$158,926	0.33%	0.49%	\$36,710	\$54,122	0.11%	0.17%	\$153,191	\$213,049	0.22%	0.33%
Putnam	\$110,146	\$71,078	0.31%	0.22%	\$190,131	\$132,578	0.58%	0.41%	\$300,277	\$203,655	0.44%	0.31%
Redding	\$155,989	\$115,436	0.44%	0.36%	\$46,058	\$36,830	0.14%	0.11%	\$202,047	\$152,266	0.30%	0.23%
Ridgefield	\$367,181	\$197,986	1.04%	0.62%	\$395,481	\$230,426	1.21%	0.71%	\$762,662	\$428,412	1.12%	0.66%
Rocky Hill	\$220,152	\$168,916	0.62%	0.53%	\$337,346	\$279,690	1.03%	0.86%	\$557,498	\$448,606	0.82%	0.69%
Roxbury	\$49,701	\$29,628	0.14%	0.09%	\$2,828	\$1,821	0.01%	0.01%	\$52,529	\$31,450	0.08%	0.05%
Salem	\$67,507	\$48,998	0.19%	0.15%	\$0	\$0	0.00%	0.00%	\$67,507	\$48,998	0.10%	0.08%
Salisbury	\$74,817	\$183,247	0.21%	0.57%	\$63,059	\$166,893	0.19%	0.51%	\$137,876	\$350,140	0.20%	0.54%
Scotland	\$18,436	\$4,299	0.05%	0.01%	\$4,191	\$1,056	0.01%	0.00%	\$22,627	\$5,355	0.03%	0.01%
Seymour	\$196,085	\$214,777	0.55%	0.67%	\$129,968	\$153,827	0.40%	0.47%	\$326,052	\$368,604	0.48%	0.57%
Sharon	\$55,705	\$143,292	0.16%	0.45%	\$29,034	\$80,702	0.09%	0.25%	\$84,739	\$223,994	0.12%	0.35%
Sherman	\$65,734	\$64,518	0.19%	0.20%	\$6,385	\$6,772	0.02%	0.02%	\$72,119	\$71,291	0.11%	0.11%
Simsbury	\$310,571	\$269,443	0.88%	0.84%	\$272,381	\$255,350	0.83%	0.78%	\$582,952	\$524,792	0.86%	0.81%
Somers	\$121,917	\$111,447	0.34%	0.35%	\$76,001	\$75,072	0.23%	0.23%	\$197,918	\$186,519	0.29%	0.29%
South Windsor	\$320,078	\$369,339	0.91%	1.15%	\$391,840	\$488,575	1.20%	1.50%	\$711,917	\$857,914	1.05%	1.32%
Southbury	\$344,708	\$299,478	0.97%	0.93%	\$337,624	\$316,957	1.03%	0.97%	\$682,333	\$616,435	1.00%	0.95%
Southington	\$536,037	\$377,346	1.52%	1.17%	\$517,543	\$393,683	1.58%	1.21%	\$1,053,580	\$771,029	1.55%	1.19%
Sprague	\$135,596	\$6,005	0.38%	0.02%	\$32,225	\$1,542	0.10%	0.00%	\$167,821	\$7,547	0.25%	0.01%
Stafford	\$184,136	\$85,913	0.52%	0.27%	\$138,028	\$69,589	0.42%	0.21%	\$322,163	\$155,502	0.47%	0.24%
Stamford	\$1,595,141	\$939,696	4.51%	2.92%	\$2,676,530	\$1,703,784	8.18%	5.22%	\$4,271,671	\$2,643,480	6.27%	4.08%
Sterling	\$47,736	\$60,819	0.13%	0.19%	\$9,281	\$12,777	0.03%	0.04%	\$57,016	\$73,596	0.08%	0.11%
Stonington	\$191,023	\$316,149	0.54%	0.98%	\$109,279	\$195,433	0.33%	0.60%	\$300,303	\$511,582	0.44%	0.79%
Suffield	\$428,943	\$209,913	1.21%	0.65%	\$175,332	\$92,716	0.54%	0.28%	\$604,275	\$302,629	0.89%	0.47%
Thomaston	\$98,185	\$95,205	0.28%	0.30%	\$125,954	\$131,971	0.38%	0.40%	\$224,139	\$227,177	0.33%	0.35%
Thompson	\$109,271	\$76,304	0.31%	0.24%	\$37,466	\$28,270	0.11%	0.09%	\$146,737	\$104,574	0.22%	0.16%

CL&P 2010 City/Town	Customers <100kW				Customers ≥100kW				All Customers			
	3 Mill Collections	Incentives	% of 3 Mill	% of Incent.	3 Mill Collections	Incentives	% of 3 Mill	% of Incent.	3 Mill Collections	Incentives	% of 3 Mill	% of Incent.
Tolland	\$171,598	\$112,035	0.49%	0.35%	\$83,055	\$58,595	0.25%	0.18%	\$254,653	\$170,630	0.37%	0.26%
Torrington	\$449,205	\$266,778	1.27%	0.83%	\$376,990	\$241,930	1.15%	0.74%	\$826,195	\$508,709	1.21%	0.78%
Union	\$10,840	\$4,649	0.03%	0.01%	\$4,328	\$2,006	0.01%	0.01%	\$15,168	\$6,655	0.02%	0.01%
Vernon	\$315,586	\$412,348	0.89%	1.28%	\$246,114	\$347,485	0.75%	1.06%	\$561,700	\$759,832	0.82%	1.17%
Voluntown	\$33,854	\$13,538	0.10%	0.04%	\$5,714	\$2,469	0.02%	0.01%	\$39,568	\$16,007	0.06%	0.02%
Wallingford	\$58	\$43,303	0.00%	0.13%	\$12	\$10,002	0.00%	0.03%	\$71	\$53,305	0.00%	0.08%
Warren	\$24,044	\$16,181	0.07%	0.05%	\$2,751	\$2,000	0.01%	0.01%	\$26,795	\$18,182	0.04%	0.03%
Washington	\$84,047	\$44,705	0.24%	0.14%	\$32,600	\$18,738	0.10%	0.06%	\$116,647	\$63,443	0.17%	0.10%
Waterbury	\$1,265,146	\$1,178,940	3.58%	3.67%	\$1,170,079	\$1,178,204	3.58%	3.61%	\$2,435,225	\$2,357,144	3.58%	3.64%
Waterford	\$276,408	\$319,958	0.78%	0.99%	\$313,404	\$392,013	0.96%	1.20%	\$589,812	\$711,970	0.87%	1.10%
Watertown	\$295,605	\$314,552	0.84%	0.98%	\$255,108	\$293,332	0.78%	0.90%	\$550,712	\$607,885	0.81%	0.94%
West Hartford	\$695,798	\$735,551	1.97%	2.29%	\$638,337	\$729,178	1.95%	2.23%	\$1,334,135	\$1,464,729	1.96%	2.26%
Westbrook	\$115,103	\$51,401	0.33%	0.16%	\$102,075	\$49,256	0.31%	0.15%	\$217,179	\$100,657	0.32%	0.16%
Weston	\$207,217	\$147,172	0.59%	0.46%	\$25,110	\$19,271	0.08%	0.06%	\$232,328	\$166,444	0.34%	0.26%
Westport	\$540,363	\$421,560	1.53%	1.31%	\$390,813	\$329,456	1.19%	1.01%	\$931,176	\$751,016	1.37%	1.16%
Wethersfield	\$291,493	\$271,938	0.82%	0.85%	\$186,036	\$187,539	0.57%	0.57%	\$477,529	\$459,478	0.70%	0.71%
Willington	\$69,613	\$28,707	0.20%	0.09%	\$34,367	\$15,314	0.11%	0.05%	\$103,981	\$44,021	0.15%	0.07%
Wilton	\$323,430	\$256,167	0.91%	0.80%	\$316,933	\$271,246	0.97%	0.83%	\$640,363	\$527,414	0.94%	0.81%
Winchester	\$132,184	\$91,725	0.37%	0.29%	\$116,274	\$87,186	0.36%	0.27%	\$248,458	\$178,911	0.36%	0.28%
Windham	\$221,461	\$294,342	0.63%	0.92%	\$310,444	\$445,854	0.95%	1.37%	\$531,905	\$740,196	0.78%	1.14%
Windsor	\$419,768	\$371,038	1.19%	1.15%	\$809,939	\$773,598	2.47%	2.37%	\$1,229,707	\$1,144,636	1.81%	1.77%
Windsor Locks	\$143,021	\$137,018	0.40%	0.43%	\$433,503	\$448,770	1.32%	1.37%	\$576,524	\$585,788	0.85%	0.90%
Wolcott	\$216,329	\$180,777	0.61%	0.56%	\$55,299	\$49,935	0.17%	0.15%	\$271,628	\$230,711	0.40%	0.36%
Woodbridge	\$1,242	\$0	0.00%	0.00%	\$157	\$0	0.00%	0.00%	\$1,398	\$0	0.00%	0.00%
Woodbury	\$163,120	\$160,029	0.46%	0.50%	\$37,488	\$39,740	0.11%	0.12%	\$200,608	\$199,769	0.29%	0.31%
Woodstock	\$112,130	\$52,290	0.32%	0.16%	\$42,259	\$21,295	0.13%	0.07%	\$154,390	\$73,585	0.23%	0.11%
<b>Total Distressed</b>	<b>\$8,044,013</b>	<b>\$7,699,642</b>	<b>22.75%</b>	<b>23.94%</b>	<b>\$9,423,690</b>	<b>\$10,209,318</b>	<b>28.80%</b>	<b>31.26%</b>	<b>\$17,467,704</b>	<b>\$17,908,960</b>	<b>25.65%</b>	<b>27.63%</b>
<b>Total Other</b>	<b>\$27,319,062</b>	<b>\$24,458,251</b>	<b>77.25%</b>	<b>76.05%</b>	<b>\$23,302,533</b>	<b>\$22,447,646</b>	<b>71.20%</b>	<b>68.74%</b>	<b>\$50,621,595</b>	<b>\$46,905,898</b>	<b>74.35%</b>	<b>72.37%</b>
<b>Grand Total</b>	<b>\$35,363,075</b>	<b>\$32,157,893</b>	<b>100.00%</b>	<b>100.00%</b>	<b>\$32,726,224</b>	<b>\$32,656,965</b>	<b>100.00%</b>	<b>100.00%</b>	<b>\$68,089,299</b>	<b>\$64,814,858</b>	<b>100.00%</b>	<b>100.00%</b>

**Table 2**  
 Budget Parity Analysis for Municipalities in UI Service Territory  
*Distressed municipalities are highlighted*

UI 2010 City/Town	Customers < 100 kW				Customers ≥ 100 kW				All Customers			
	3 Mill Collections	Incentives	% of 3 Mill	% of Incent.	3 Mill Collections	Incentives	% of 3 Mill	% of Incent.	3 Mill Collections	Incentives	% of 3 Mill	% of Incent.
Ansonia	\$205,023	\$157,343	2.28%	1.48%	\$94,427	\$299,927	1.18%	3.45%	\$299,449	\$457,270	1.76%	2.37%
Bridgeport	\$1,337,720	\$2,024,420	14.85%	19.04%	\$979,146	\$1,113,791	12.23%	12.82%	\$2,316,866	\$3,138,211	13.62%	16.24%
Derby	\$167,570	\$134,266	1.86%	1.26%	\$113,514	\$213,976	1.42%	2.46%	\$281,084	\$348,242	1.65%	1.80%
East Haven	\$362,404	\$287,224	4.02%	2.70%	\$105,062	\$8,526	1.31%	0.10%	\$467,466	\$295,750	2.75%	1.53%
Easton	\$121,527	\$244,344	1.35%	2.30%	\$19,556		0.24%	0.00%	\$141,083	\$244,344	0.83%	1.26%
Fairfield	\$774,137	\$1,513,802	8.59%	14.23%	\$392,032	\$1,135,641	4.90%	13.07%	\$1,166,169	\$2,649,443	6.85%	13.71%
Hamden	\$706,655	\$861,348	7.84%	8.10%	\$424,693	\$1,808,298	5.31%	20.81%	\$1,131,348	\$2,669,647	6.65%	13.81%
Milford	\$812,955	\$1,156,046	9.02%	10.87%	\$668,855	\$784,922	8.36%	9.03%	\$1,481,810	\$1,940,968	8.71%	10.04%
New Haven	\$1,226,520	\$1,324,738	13.61%	12.46%	\$2,142,851	\$962,171	26.77%	11.07%	\$3,369,371	\$2,286,909	19.80%	11.83%
North Branford	\$114,379	\$76,015	1.27%	0.71%	\$89,053	\$14,566	1.11%	0.17%	\$203,432	\$90,581	1.20%	0.47%
North Haven	\$406,021	\$358,168	4.51%	3.37%	\$520,457	\$278,676	6.50%	3.21%	\$926,478	\$636,844	5.45%	3.30%
Orange	\$267,990	\$261,461	2.97%	2.46%	\$165,957	\$256,333	2.07%	2.95%	\$433,948	\$517,794	2.55%	2.68%
Shelton	\$527,605	\$606,409	5.86%	5.70%	\$724,534	\$421,942	9.05%	4.85%	\$1,252,139	\$1,028,351	7.36%	5.32%
Southport	\$85,687	\$204,607	0.95%	1.92%	\$22,325		0.28%	0.00%	\$108,012	\$204,607	0.63%	1.06%
Stratford	\$637,104	\$543,676	7.07%	5.11%	\$619,820	\$407,128	7.74%	4.68%	\$1,256,924	\$950,804	7.39%	4.92%
Trumbull	\$464,807	\$357,657	5.16%	3.36%	\$509,270	\$466,367	6.36%	5.37%	\$974,078	\$824,024	5.73%	4.26%
West Haven	\$641,986	\$411,761	7.13%	3.87%	\$363,030	\$463,362	4.54%	5.33%	\$1,005,017	\$875,123	5.91%	4.53%
Woodbridge	\$149,058	\$111,873	1.65%	1.05%	\$50,394	\$55,575	0.63%	0.64%	\$199,452	\$167,448	1.17%	0.87%
<b>Total Distressed</b>	\$3,578,819	\$4,052,527	39.72%	38.11%	\$3,692,968	\$3,053,227	46.13%	35.13%	\$7,271,787	\$7,105,754	42.74%	36.77%
<b>Total Other</b>	\$5,430,329	\$6,582,630	60.28%	61.89%	\$4,312,009	\$5,637,974	53.87%	64.87%	\$9,742,338	\$12,220,604	57.26%	63.23%
<b>Grand Total</b>	\$9,009,148	\$10,635,157	100.00%	100.00%	\$8,004,977	\$8,691,201	100.00%	100.00%	\$17,014,125	\$19,326,358	100.00%	100.00%

**Table 3**  
Budget Parity Analysis for 2010 Distressed Municipalities in CL&P and UI Service Territories

CL&P 2010 City/Town	Customers <100kW				Customers ≥100kW				All Customers			
	3 Mill Collections	Incentives	% of 3 Mill	% of Incent.	3 Mill Collections	Incentives	% of 3 Mill	% of Incent.	3 Mill Collections	Incentives	% of 3 Mill	% of Incent.
Bristol	\$750,843	\$463,693	2.12%	1.44%	\$767,743	\$512,332	2.35%	1.57%	\$1,518,585	\$976,025	2.23%	1.51%
Brooklyn	\$90,966	\$46,234	0.26%	0.14%	\$34,438	\$18,914	0.11%	0.06%	\$125,404	\$65,148	0.18%	0.10%
East Hartford	\$712,664	\$546,312	2.02%	1.70%	\$482,690	\$399,832	1.47%	1.22%	\$1,195,354	\$946,144	1.76%	1.46%
Enfield	\$462,281	\$731,661	1.31%	2.28%	\$533,776	\$912,888	1.63%	2.80%	\$996,057	\$1,644,549	1.46%	2.54%
Hartford	\$1,156,473	\$1,233,774	3.27%	3.84%	\$2,484,691	\$2,864,353	7.59%	8.77%	\$3,641,164	\$4,098,127	5.35%	6.32%
Killingly	\$242,824	\$247,120	0.69%	0.77%	\$276,241	\$303,780	0.84%	0.93%	\$519,065	\$550,900	0.76%	0.85%
Meriden	\$687,198	\$509,531	1.94%	1.58%	\$736,787	\$590,316	2.25%	1.81%	\$1,423,985	\$1,099,847	2.09%	1.70%
Naugatuck	\$360,732	\$542,461	1.02%	1.69%	\$245,336	\$398,657	0.75%	1.22%	\$606,068	\$941,118	0.89%	1.45%
New Britain	\$615,313	\$734,474	1.74%	2.28%	\$718,634	\$926,920	2.20%	2.84%	\$1,333,947	\$1,661,394	1.96%	2.56%
New London	\$277,299	\$463,581	0.78%	1.44%	\$551,849	\$996,900	1.69%	3.05%	\$829,148	\$1,460,481	1.22%	2.25%
North Canaan	\$47,782	\$6,087	0.14%	0.02%	\$187,032	\$25,746	0.57%	0.08%	\$234,814	\$31,833	0.34%	0.05%
Norwich	\$176	\$3,926	0.00%	0.01%	\$120	\$2,888	0.00%	0.01%	\$295	\$6,813	0.00%	0.01%
Plainfield	\$175,167	\$122,965	0.50%	0.38%	\$163,978	\$124,386	0.50%	0.38%	\$339,145	\$247,352	0.50%	0.38%
Plymouth	\$150,560	\$138,955	0.43%	0.43%	\$44,232	\$44,112	0.14%	0.14%	\$194,792	\$183,068	0.29%	0.28%
Putnam	\$110,146	\$71,078	0.31%	0.22%	\$190,131	\$132,578	0.58%	0.41%	\$300,277	\$203,655	0.44%	0.31%
Sprague	\$135,596	\$6,005	0.38%	0.02%	\$32,225	\$1,542	0.10%	0.00%	\$167,821	\$7,547	0.25%	0.01%
Torrington	\$449,205	\$266,778	1.27%	0.83%	\$376,990	\$241,930	1.15%	0.74%	\$826,195	\$508,709	1.21%	0.78%
Waterbury	\$1,265,146	\$1,178,940	3.58%	3.67%	\$1,170,079	\$1,178,204	3.58%	3.61%	\$2,435,225	\$2,357,144	3.58%	3.64%
Winchester	\$132,184	\$91,725	0.37%	0.29%	\$116,274	\$87,186	0.36%	0.27%	\$248,458	\$178,911	0.36%	0.28%
Windham	\$221,461	\$294,342	0.63%	0.92%	\$310,444	\$445,854	0.95%	1.37%	\$531,905	\$740,196	0.78%	1.14%
<b>CL&amp;P Distressed</b>	<b>\$8,044,013</b>	<b>\$7,699,642</b>	<b>22.75%</b>	<b>23.94%</b>	<b>\$9,423,690</b>	<b>\$10,209,318</b>	<b>28.80%</b>	<b>31.26%</b>	<b>\$17,467,704</b>	<b>\$17,908,960</b>	<b>25.65%</b>	<b>27.63%</b>
UI 2010 City/Town	Customers < 100 kW				Customers ≥ 100 kW				All Customers			
	3 Mill Collections	Incentives	% of 3 Mill	% of Incent.	3 Mill Collections	Incentives	% of 3 Mill	% of Incent.	3 Mill Collections	Incentives	% of 3 Mill	% of Incent.
Ansonia	\$205,023	\$157,343	2.28%	1.48%	\$94,427	\$299,927	1.18%	3.45%	\$299,449	\$457,270	1.76%	2.37%
Bridgeport	\$1,337,720	\$2,024,420	14.85%	19.04%	\$979,146	\$1,113,791	12.23%	12.82%	\$2,316,866	\$3,138,211	13.62%	16.24%
Derby	\$167,570	\$134,266	1.86%	1.26%	\$113,514	\$213,976	1.42%	2.46%	\$281,084	\$348,242	1.65%	1.80%
New Haven	\$1,226,520	\$1,324,738	13.61%	12.46%	\$2,142,851	\$962,171	26.77%	11.07%	\$3,369,371	\$2,286,909	19.80%	11.83%
West Haven	\$641,986	\$411,761	7.13%	3.87%	\$363,030	\$463,362	4.54%	5.33%	\$1,005,017	\$875,123	5.91%	4.53%
<b>UI Distressed</b>	<b>\$3,578,819</b>	<b>\$4,052,527</b>	<b>39.72%</b>	<b>38.11%</b>	<b>\$3,692,968</b>	<b>\$3,053,227</b>	<b>46.13%</b>	<b>35.13%</b>	<b>\$7,271,787</b>	<b>\$7,105,754</b>	<b>42.74%</b>	<b>36.77%</b>
<b>Total Distressed</b>	<b>\$11,622,832</b>	<b>\$11,752,169</b>	<b>26.19%</b>	<b>27.46%</b>	<b>\$13,116,658</b>	<b>\$13,262,546</b>	<b>32.20%</b>	<b>32.08%</b>	<b>\$24,739,491</b>	<b>\$25,014,715</b>	<b>29.07%</b>	<b>29.73%</b>

**Table 4**  
 Budget Parity Analysis for Municipalities Receiving CEFIA Incentives in 2010  
*Distressed municipalities are highlighted*

CEFIA 2010 City/Town	Incentives					1 Mill Collections	Incentives	% of 1 Mill	% of Incent.
	Lease	Rebate	OSDG	CEC	CIG				
Andover		\$8,237				\$15,325	\$8,237	0.05%	0.04%
<b>Ansonia</b>	<b>\$16,332</b>		<b>\$427,583</b>			<b>\$99,816</b>	<b>\$443,915</b>	<b>0.35%</b>	<b>2.30%</b>
Ashford	\$110,269	\$9,130				\$23,149	\$119,399	0.08%	0.62%
Avon	\$10,681	\$12,234				\$169,670	\$22,915	0.60%	0.12%
Barkhamsted	\$51,991					\$21,036	\$51,991	0.07%	0.27%
Beacon Falls	\$30,513					\$32,644	\$30,513	0.12%	0.16%
Berlin	\$23,629	\$34,524				\$194,152	\$58,153	0.68%	0.30%
Bethany		\$9,533				\$32,242	\$9,533	0.11%	0.05%
Bethel						\$151,028	\$0	0.53%	0.00%
Bethlehem	\$97,168	\$13,587				\$19,679	\$110,755	0.07%	0.57%
Bloomfield	\$20,569					\$276,672	\$20,569	0.98%	0.11%
Bolton						\$27,676	\$0	0.10%	0.00%
Branford	\$79,066					\$227,415	\$79,066	0.80%	0.41%
<b>Bridgeport</b>		<b>\$5,625</b>		<b>\$17,070</b>		<b>\$772,289</b>	<b>\$22,695</b>	<b>2.72%</b>	<b>0.12%</b>
Bridgewater						\$12,521	\$0	0.04%	0.00%
<b>Bristol</b>	<b>\$97,932</b>					<b>\$506,195</b>	<b>\$97,932</b>	<b>1.78%</b>	<b>0.51%</b>
Brookfield						\$148,330	\$0	0.52%	0.00%
<b>Brooklyn</b>	<b>\$62,333</b>	<b>\$6,163</b>				<b>\$41,801</b>	<b>\$68,496</b>	<b>0.15%</b>	<b>0.35%</b>
Burlington	\$94,393					\$47,299	\$94,393	0.17%	0.49%
Canaan	\$79,954					\$10,215	\$79,954	0.04%	0.41%
Canterbury	\$65,226					\$23,609	\$65,226	0.08%	0.34%
Canton	\$62,955			\$26,401		\$69,260	\$89,356	0.24%	0.46%
Chaplin	\$34,951					\$11,957	\$34,951	0.04%	0.18%
Cheshire	\$70,928	\$42,975	\$344,970			\$275,647	\$458,873	0.97%	2.38%
Chester		\$10,616				\$33,497	\$10,616	0.12%	0.05%
Clinton	\$15,072	\$8,773				\$100,802	\$23,845	0.36%	0.12%
Colchester	\$155,567	\$65,663				\$88,399	\$221,230	0.31%	1.15%
Colebrook	\$39,269					\$7,446	\$39,269	0.03%	0.20%
Columbia	\$98,051	\$8,426				\$29,644	\$106,477	0.10%	0.55%

CEFIA 2010 City/Town	Incentives					1 Mill Collections	Incentives	% of 1 Mill	% of Incent.
	Lease	Rebate	OSDG	CEC	CIG				
Cornwall		\$30,798		\$61,340		\$12,183	\$92,138	0.04%	0.48%
Coventry	\$89,447	\$5,497			\$2,000	\$57,248	\$96,944	0.20%	0.50%
Cromwell			\$850,000			\$129,494	\$850,000	0.46%	4.40%
Danbury	\$157,034	\$18,966	\$1,186,916			\$747,772	\$1,362,916	2.64%	7.06%
Darien		\$19,102	\$172,880			\$187,822	\$191,982	0.66%	0.99%
Deep River			\$408,323			\$41,126	\$408,323	0.14%	2.11%
<b>Derby</b>						<b>\$93,695</b>	<b>\$0</b>	<b>0.33%</b>	<b>0.00%</b>
Durham	\$220,165	\$22,259				\$48,647	\$242,424	0.17%	1.25%
East Granby		\$25,282				\$83,128	\$25,282	0.29%	0.13%
East Haddam	\$93,482	\$15,169				\$47,446	\$108,651	0.17%	0.56%
East Hampton	\$108,912				\$2,000	\$69,392	\$110,912	0.24%	0.57%
<b>East Hartford</b>	<b>\$48,238</b>		<b>\$1,186,236</b>			<b>\$398,451</b>	<b>\$1,234,474</b>	<b>1.40%</b>	<b>6.39%</b>
East Haven						\$155,822	\$0	0.55%	0.00%
East Lyme	\$73,218	\$7,497	\$19,520			\$135,629	\$100,235	0.48%	0.52%
East Windsor	\$37,562					\$101,397	\$37,562	0.36%	0.19%
Eastford						\$11,734	\$0	0.04%	0.00%
Easton				\$31,520		\$47,028	\$31,520	0.17%	0.16%
Ellington	\$123,162					\$92,860	\$123,162	0.33%	0.64%
<b>Enfield</b>	<b>\$137,212</b>	<b>\$11,500</b>				<b>\$332,019</b>	<b>\$148,712</b>	<b>1.17%</b>	<b>0.77%</b>
Essex						\$61,215	\$0	0.22%	0.00%
Fairfield	\$63,727	\$19,820	\$731,291			\$388,796	\$814,838	1.37%	4.22%
Farmington	\$89,921	\$7,332			\$2,000	\$396,147	\$99,253	1.40%	0.51%
Franklin						\$16,896	\$0	0.06%	0.00%
Glastonbury	\$98,903					\$245,404	\$98,903	0.87%	0.51%
Goshen		\$26,811				\$18,834	\$26,811	0.07%	0.14%
Granby	\$72,819	\$9,499				\$65,424	\$82,318	0.23%	0.43%
Greenwich	\$45,671	\$19,684				\$864,126	\$65,355	3.05%	0.34%
Griswold	\$16,243	\$48,686				\$37,948	\$64,929	0.13%	0.34%
Groton	\$14,925					\$138,201	\$14,925	0.49%	0.08%
Guilford	\$101,072	\$45,548				\$154,829	\$146,620	0.55%	0.76%
Haddam	\$31,652	\$48,358				\$45,912	\$80,010	0.16%	0.41%
Hamden	\$102,089			\$45,000		\$377,291	\$147,089	1.33%	0.76%
Hampton	\$10,353	\$13,255	\$8,850			\$8,648	\$32,458	0.03%	0.17%

CEFIA 2010 City/Town	Incentives					1 Mill Collections	Incentives	% of 1 Mill	% of Incent.
	Lease	Rebate	OSDG	CEC	CIG				
<b>Hartford</b>		<b>\$9,815</b>				<b>\$1,213,721</b>	<b>\$9,815</b>	<b>4.28%</b>	<b>0.05%</b>
Hartland						\$8,423	\$0	0.03%	0.00%
Harwinton						\$29,586	\$0	0.10%	0.00%
Hebron	\$64,395	\$18,830	\$82,300			\$47,526	\$165,525	0.17%	0.86%
Kent	\$56,693	\$19,214			\$2,000	\$31,986	\$77,907	0.11%	0.40%
<b>Killingly</b>	<b>\$45,312</b>	<b>\$10,742</b>	<b>\$43,420</b>			<b>\$173,022</b>	<b>\$99,474</b>	<b>0.61%</b>	<b>0.51%</b>
Killingworth	\$99,132					\$35,226	\$99,132	0.12%	0.51%
Lebanon	\$19,930	\$2,950			\$2,000	\$27,853	\$24,880	0.10%	0.13%
Ledyard						\$225,433	\$0	0.79%	0.00%
Lisbon						\$35,727	\$0	0.13%	0.00%
Litchfield	\$211,761		\$19,250			\$64,688	\$231,011	0.23%	1.20%
Lyme						\$15,795	\$0	0.06%	0.00%
Madison	\$45,333	\$32,717	\$166,735	\$22,710		\$127,971	\$267,495	0.45%	1.38%
Manchester	\$101,236			\$25,014		\$480,600	\$126,250	1.69%	0.65%
Mansfield	\$86,400	\$8,946	\$69,825			\$100,563	\$165,171	0.35%	0.86%
Marlborough	\$22,354	\$45,972				\$34,240	\$68,326	0.12%	0.35%
<b>Meriden</b>	<b>\$41,366</b>					<b>\$474,662</b>	<b>\$41,366</b>	<b>1.67%</b>	<b>0.21%</b>
Middlebury	\$38,458		\$808,185			\$63,501	\$846,643	0.22%	4.38%
Middlefield	\$80,514		\$111,660			\$42,808	\$192,174	0.15%	0.99%
Middletown	\$17,803	\$35,479				\$426,756	\$53,282	1.50%	0.28%
Milford	\$77,746	\$11,084	\$109,664			\$493,937	\$198,494	1.74%	1.03%
Monroe	\$16,223	\$43,115				\$125,947	\$59,338	0.44%	0.31%
Montville	\$154,743	\$10,292				\$178,811	\$165,035	0.63%	0.85%
Morris	\$21,338					\$17,120	\$21,338	0.06%	0.11%
<b>Naugatuck</b>	<b>\$29,885</b>					<b>\$202,023</b>	<b>\$29,885</b>	<b>0.71%</b>	<b>0.15%</b>
<b>New Britain</b>	<b>\$12,585</b>					<b>\$444,649</b>	<b>\$12,585</b>	<b>1.57%</b>	<b>0.07%</b>
New Canaan		\$4,094				\$194,788	\$4,094	0.69%	0.02%
New Fairfield		\$11,392				\$79,742	\$11,392	0.28%	0.06%
New Hartford	\$38,364					\$44,590	\$38,364	0.16%	0.20%
<b>New Haven</b>	<b>\$65,041</b>				<b>\$5,000</b>	<b>\$1,123,124</b>	<b>\$70,041</b>	<b>3.96%</b>	<b>0.36%</b>
<b>New London</b>		<b>\$5,646</b>			<b>\$2,000</b>	<b>\$276,383</b>	<b>\$7,646</b>	<b>0.97%</b>	<b>0.04%</b>
New Milford	\$32,701					\$208,186	\$32,701	0.73%	0.17%
Newington	\$31,747	\$6,155				\$289,966	\$37,902	1.02%	0.20%

CEFIA 2010 City/Town	Incentives					1 Mill Collections	Incentives	% of 1 Mill	% of Incent.
	Lease	Rebate	OSDG	CEC	CIG				
Newtown	\$111,033	\$32,482				\$195,278	\$143,515	0.69%	0.74%
Norfolk	\$23,187					\$10,622	\$23,187	0.04%	0.12%
North Branford	\$29,776					\$68,236	\$29,776	0.24%	0.15%
<b>North Canaan</b>						<b>\$78,271</b>	<b>\$0</b>	<b>0.28%</b>	<b>0.00%</b>
North Haven	\$76,191	\$24,508				\$308,826	\$100,699	1.09%	0.52%
North Stonington	\$111,673					\$38,011	\$111,673	0.13%	0.58%
Norwalk	\$155,402	\$60,948				\$591,286	\$216,350	2.08%	1.12%
<b>Norwich</b>						<b>\$98</b>	<b>\$0</b>	<b>0.00%</b>	<b>0.00%</b>
Old Lyme	\$30,623	\$19,789	\$24,210			\$61,391	\$74,622	0.22%	0.39%
Old Saybrook		\$16,503				\$109,368	\$16,503	0.39%	0.09%
Orange	\$165,954	\$5,622				\$144,649	\$171,576	0.51%	0.89%
Oxford	\$48,522	\$13,070				\$74,300	\$61,592	0.26%	0.32%
<b>Plainfield</b>	<b>\$90,058</b>	<b>\$11,284</b>				<b>\$113,048</b>	<b>\$101,342</b>	<b>0.40%</b>	<b>0.52%</b>
Plainville	\$33,669					\$157,439	\$33,669	0.55%	0.17%
<b>Plymouth</b>	<b>\$96,767</b>					<b>\$64,931</b>	<b>\$96,767</b>	<b>0.23%</b>	<b>0.50%</b>
Pomfret	\$62,022					\$34,549	\$62,022	0.12%	0.32%
Portland	\$61,437					\$60,791	\$61,437	0.21%	0.32%
Preston	\$30,772					\$26,906	\$30,772	0.09%	0.16%
Prospect		\$9,371				\$51,064	\$9,371	0.18%	0.05%
<b>Putnam</b>	<b>\$50,520</b>					<b>\$100,092</b>	<b>\$50,520</b>	<b>0.35%</b>	<b>0.26%</b>
Redding	\$77,320			\$24,139		\$67,349	\$101,459	0.24%	0.53%
Ridgefield	\$32,181	\$3,960		\$129,789		\$254,221	\$165,930	0.90%	0.86%
Rocky Hill	\$24,004	\$45,111				\$185,833	\$69,115	0.66%	0.36%
Roxbury	\$35,101	\$5,694				\$17,510	\$40,795	0.06%	0.21%
Salem						\$22,502	\$0	0.08%	0.00%
Salisbury	\$35,306	\$6,964				\$45,959	\$42,270	0.16%	0.22%
Scotland	\$54,162					\$7,542	\$54,162	0.03%	0.28%
Seymour		\$1,031				\$108,684	\$1,031	0.38%	0.01%
Sharon		\$17,523				\$28,246	\$17,523	0.10%	0.09%
Shelton	\$110,091					\$417,380	\$110,091	1.47%	0.57%
Sherman		\$5,866				\$24,040	\$5,866	0.08%	0.03%
Simsbury			\$65,070			\$194,317	\$65,070	0.68%	0.34%
Somers		\$12,708				\$65,973	\$12,708	0.23%	0.07%

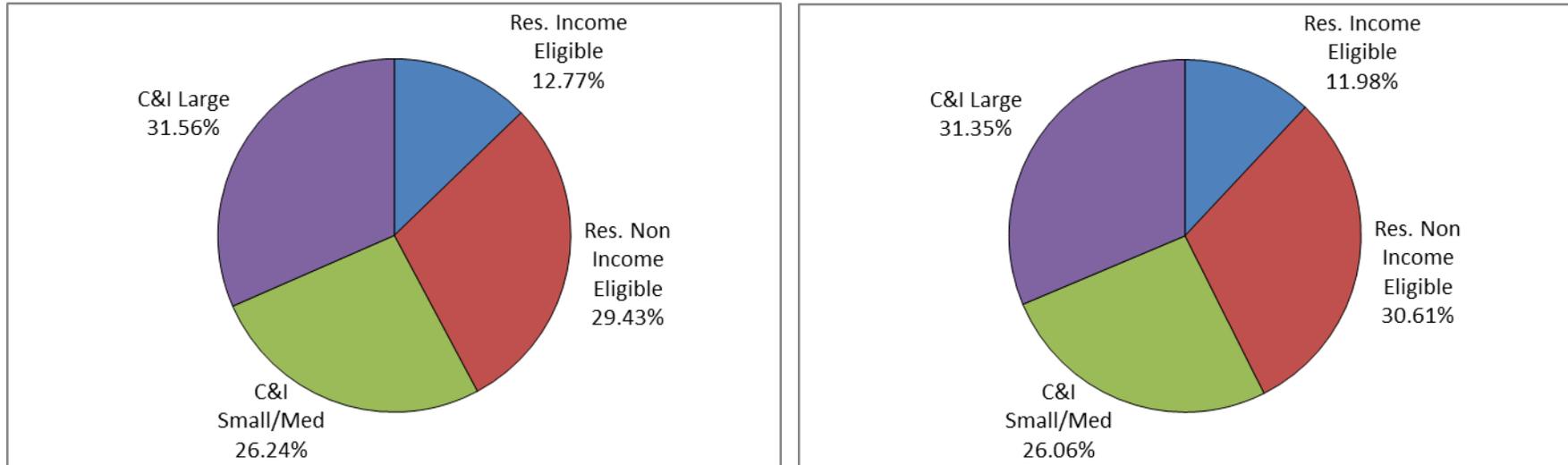
CEFIA 2010 City/Town	Incentives					1 Mill Collections	Incentives	% of 1 Mill	% of Incent.
	Lease	Rebate	OSDG	CEC	CIG				
South Windsor	\$75,600	\$16,585	\$750,000			\$237,306	\$842,185	0.84%	4.36%
Southbury	\$56,066					\$227,444	\$56,066	0.80%	0.29%
Southington	\$46,436					\$351,193	\$46,436	1.24%	0.24%
Southport						\$36,004	\$0	0.13%	0.00%
<b>Sprague</b>			<b>\$108,000</b>			<b>\$55,940</b>	<b>\$108,000</b>	<b>0.20%</b>	<b>0.56%</b>
Stafford						\$107,388	\$0	0.38%	0.00%
Stamford	\$41,153	\$41,660		\$149,024		\$1,423,890	\$231,837	5.02%	1.20%
Sterling						\$19,005	\$0	0.07%	0.00%
Stonington	\$180,909	\$13,441	\$101,050			\$100,101	\$295,400	0.35%	1.53%
Stratford	\$34,075	\$7,621	\$195,745			\$418,975	\$237,441	1.48%	1.23%
Suffield	\$44,166	\$9,602				\$201,425	\$53,768	0.71%	0.28%
Thomaston						\$74,713	\$0	0.26%	0.00%
Thompson	\$72,904					\$48,912	\$72,904	0.17%	0.38%
Tolland	\$65,611					\$84,884	\$65,611	0.30%	0.34%
<b>Torrington</b>	<b>\$52,852</b>	<b>\$28,554</b>				<b>\$275,398</b>	<b>\$81,406</b>	<b>0.97%</b>	<b>0.42%</b>
Trumbull	\$123,106					\$324,693	\$123,106	1.14%	0.64%
Union						\$5,056	\$0	0.02%	0.00%
Vernon	\$68,927	\$8,714				\$187,233	\$77,641	0.66%	0.40%
Voluntown	\$69,226					\$13,189	\$69,226	0.05%	0.36%
Wallingford						\$24	\$0	0.00%	0.00%
Warren						\$8,932	\$0	0.03%	0.00%
Washington		\$29,440				\$38,882	\$29,440	0.14%	0.15%
<b>Waterbury</b>	<b>\$113,351</b>					<b>\$811,742</b>	<b>\$113,351</b>	<b>2.86%</b>	<b>0.59%</b>
Waterford	\$145,543	\$22,893	\$375,800			\$196,604	\$544,236	0.69%	2.82%
Watertown	\$41,293					\$183,571	\$41,293	0.65%	0.21%
West Hartford	\$87,592	\$9,786	\$683,390			\$444,712	\$780,768	1.57%	4.04%
<b>West Haven</b>	<b>\$87,368</b>				<b>\$2,000</b>	<b>\$335,006</b>	<b>\$89,368</b>	<b>1.18%</b>	<b>0.46%</b>
Westbrook	\$83,836	\$3,957				\$72,393	\$87,793	0.26%	0.45%
Weston	\$43,598			\$21,587		\$77,443	\$65,185	0.27%	0.34%
Westport		\$74,336		\$43,886		\$310,392	\$118,222	1.09%	0.61%
Wethersfield						\$159,176	\$0	0.56%	0.00%
Willington	\$62,380	\$9,558				\$34,660	\$71,938	0.12%	0.37%
Wilton	\$34,813	\$21,590		\$35,975	\$4,000	\$213,454	\$96,378	0.75%	0.50%

CEFIA 2010 City/Town	Incentives					1 Mill Collections	Incentives	% of 1 Mill	% of Incent.
	Lease	Rebate	OSDG	CEC	CIG				
<b>Winchester</b>	<b>\$31,424</b>	<b>\$11,905</b>				<b>\$82,819</b>	<b>\$43,329</b>	<b>0.29%</b>	<b>0.22%</b>
<b>Windham</b>		<b>\$7,642</b>			<b>\$5,000</b>	<b>\$177,302</b>	<b>\$12,642</b>	<b>0.63%</b>	<b>0.07%</b>
Windsor	\$87,860					\$409,902	\$87,860	1.44%	0.45%
Windsor Locks	\$32,373	\$10,113	\$73,700			\$192,175	\$116,186	0.68%	0.60%
Wolcott						\$90,543	\$0	0.32%	0.00%
Woodbridge		\$16,307		\$26,480		\$66,950	\$42,787	0.24%	0.22%
Woodbury	\$85,489					\$66,869	\$85,489	0.24%	0.44%
Woodstock	\$83,651					\$51,463	\$83,651	0.18%	0.43%
<b>Total Distressed</b>	<b>\$1,078,577</b>	<b>\$108,876</b>	<b>\$1,765,239</b>	<b>\$17,070</b>	<b>\$14,000</b>	<b>\$8,246,497</b>	<b>\$2,983,762</b>	<b>29.07%</b>	<b>15.45%</b>
<b>Total Other</b>	<b>\$6,882,512</b>	<b>\$1,434,254</b>	<b>\$7,359,334</b>	<b>\$642,865</b>	<b>\$14,000</b>	<b>\$20,121,311</b>	<b>\$16,332,964</b>	<b>70.93%</b>	<b>84.55%</b>
<b>Grand Total</b>	<b>\$7,961,088</b>	<b>\$1,543,130</b>	<b>\$9,124,573</b>	<b>\$659,935</b>	<b>\$28,000</b>	<b>\$28,367,808</b>	<b>\$19,316,726</b>	<b>100.00%</b>	<b>100.00%</b>

## APPENDIX B

### TABLE A

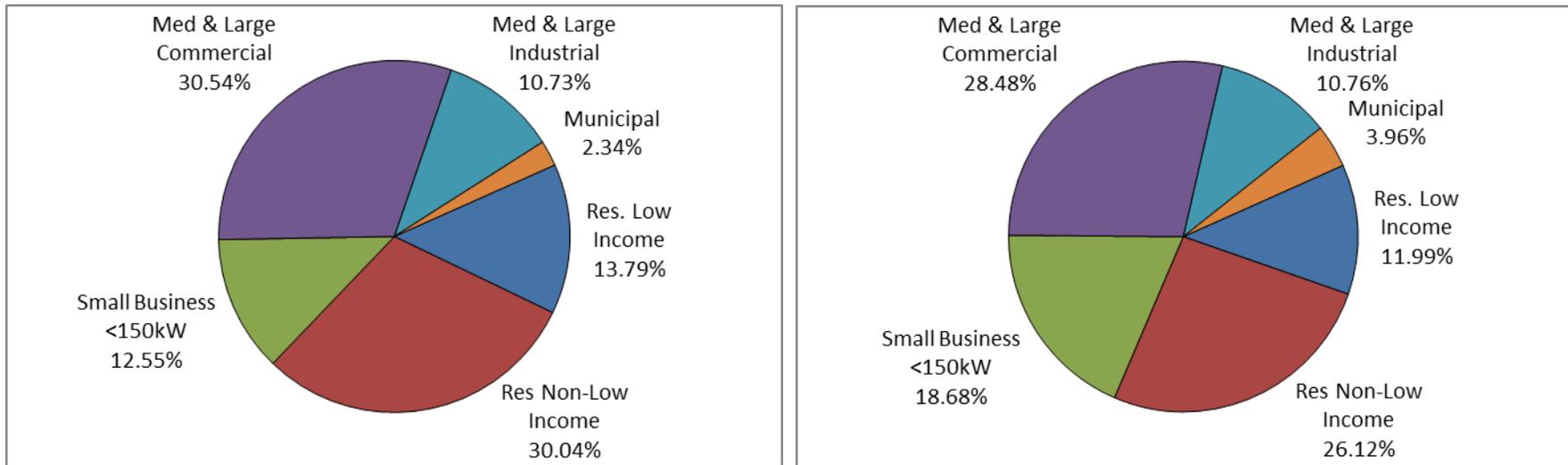
#### CL&P C&LM Budget and Parity Analysis - 2010



Customer Class	Budget	% of Total C&LM Budget	% of Residential & C&I Budget	% of Residential & C&I Revenue	Difference
Res. Income Eligible	\$9,399,700	11.16%	12.77%	11.98%	0.79%
Res. Non Income Eligible	\$21,657,229	25.72%	29.43%	30.61%	-1.18%
<b>Residential Sub-Total</b>	<b>\$31,056,929</b>	<b>36.89%</b>	<b>42.20%</b>	<b>42.59%</b>	<b>-0.39%</b>
C&I Small/Med	\$19,314,922	22.94%	26.24%	26.06%	0.18%
C&I Large	\$23,228,958	27.59%	31.56%	31.35%	0.21%
<b>C&amp;I Sub-Total</b>	<b>\$42,543,880</b>	<b>50.53%</b>	<b>57.80%</b>	<b>57.41%</b>	<b>0.39%</b>
<b>Residential and C&amp;I Sub-Total</b>	<b>\$73,600,809</b>	<b>87.42%</b>	<b>100.00%</b>	<b>100.00%</b>	<b>0.00%</b>
Other Expenditures	\$10,590,940	12.58%			
<b>Other Expenditures Sub-Total</b>	<b>\$10,590,940</b>	<b>12.58%</b>			
<b>CL&amp;P C&amp;LM TOTAL</b>	<b>\$84,191,749</b>	<b>100.00%</b>			

**TABLE B**

**UI C&LM Budget and Parity Analysis - 2010**



Customer Class	Budget	% of Total C&LM Budget	% of Residential & C&I Budget	% of Residential & C&I Revenue	Difference
Res. Low Income	\$2,448,340	11.46%	13.79%	11.99%	1.81%
Res Non-Low Income	\$5,332,697	24.95%	30.04%	26.12%	3.92%
<b>Residential Sub-Total</b>	<b>\$7,781,037</b>	<b>36.41%</b>	<b>43.84%</b>	<b>38.11%</b>	<b>5.73%</b>
Small Business <150kW	\$2,227,636	10.42%	12.55%	18.68%	-6.13%
Med & Large Commercial	\$5,421,082	25.37%	30.54%	28.48%	2.06%
Med & Large Industrial	\$1,904,506	8.91%	10.73%	10.76%	-0.03%
Municipal	\$416,141	1.95%	2.34%	3.96%	-1.62%
<b>C&amp;I Sub-Total</b>	<b>\$9,969,365</b>	<b>46.65%</b>	<b>56.16%</b>	<b>61.89%</b>	<b>-5.73%</b>
<b>Residential and C&amp;I Sub-Total</b>	<b>\$17,750,402</b>	<b>83.06%</b>	<b>100.00%</b>	<b>100.00%</b>	<b>0.00%</b>
Other Expenditures	\$3,619,598	16.94%			
<b>Other Expenditures Sub-Total</b>	<b>\$3,619,598</b>	<b>16.94%</b>			
<b>UI C&amp;LM TOTAL</b>	<b>\$21,370,000</b>	<b>100.00%</b>			