



WATER PERMITTING AND ENFORCEMENT DIVISION
 BUREAU OF MATERIALS MANAGEMENT AND
 COMPLIANCE ASSURANCE
 CONNECTICUT DEPARTMENT OF
 ENVIRONMENTAL PROTECTION
 79 ELM STREET
 HARTFORD, CT 06106-5127

CTDEP USE ONLY – DATE RECEIVED STAMP

REPORTING AND CERTIFICATION FORM

Consent Order Regarding Vessel Pressure Washing Wastewater

To Facility Owners/Operators: This Reporting and Certification Form (“Form”) is for reporting and certifying compliance with the terms and conditions you have agreed to and will abide by in the Consent Order Regarding Vessel Pressure Washing Wastewater you entered into with the Connecticut Department of Environmental Protection (“CTDEP”) and issued by the Commissioner. For the reporting/certification required on or before April 30, 2010 (paragraph B.1.f of the Consent Order), Parts I, II and IV of this Form must be completed on or before April 30, 2010 and mailed to CTDEP and the address indicated below. For the reporting/certification required on or before December 31, 2010 (paragraph B.1.h of the Consent Order), Parts I, III and IV of this Form must be completed on or before December 31, 2010 and mailed to CTDEP and the address indicated below.

To complete this Form to demonstrate compliance with the Consent Order, the signatory to the Consent Order must have all the information requested by this Form completely entered, including appropriate boxes checked, and any explanation that is required. The original, signed copy of the completed Form must be submitted to the CTDEP at the address indicated below. The Form must include the same Consent Order number, Facility name and address, and authorized individual and signature entered on Exhibit A of the Consent Order.

If this Form is not signed and completed as required on or before the date specified in the Consent Order (either April 30, 2010 or December 31, 2010), and timely mailed to CTDEP with a postmark not later than Monday, May 3, 2010 for the April 30, 2010 deadline and Monday, January 3, 2011 for the December 31, 2010 deadline, the Respondent will be deemed by CTDEP to be in noncompliance with the Consent Order and subject to full discretionary enforcement authority, as of October 1, 2009, including formal enforcement action and the assessment of penalties.

Part I: Consent Order Number and Facility Information

| | | | |
|---|--------|-----------|--|
| 1. Consent Order Number: WC- | | | |
| <i>(Consent Order Number must be the same as identified in Exhibit A attached to the Consent Order)</i> | | | |
| 2. Facility Name: | | | |
| Location Address: | | | |
| City/Town: | State: | Zip Code: | |
| Business Phone: | ext. | Fax: | |
| 3. Facility Owner/Operator Information: | | | |
| Name of Facility Owner/Operator: | | | |
| Title: | | | |
| Business Phone: | ext. | Fax: | |
| Email: | | | |
| <i>(Facility Name/Address and Owner/Operator Name/Title must be same as in Exhibit A attached to the Consent Order)</i> | | | |

Part II: April 30, 2010 Certification

Section 1: *On or before April 30, 2010, I hereby certify to the following:*

| Completed and in Use | Not Completed or in Use | Completion Timeframe | Milestone |
|--------------------------|--------------------------|---------------------------------|---|
| <input type="checkbox"/> | <input type="checkbox"/> | On or before September 30, 2009 | All requisite measures for the containment, collection, treatment, storage, discharge and/or hauling of vessel pressure washing wastewaters in compliance with Connecticut General Statutes (“CGS”) Section 22a-430, 22a-430b, 22a-454 and any other applicable requirements, have been implemented and are currently in use. |

If you checked “Completed and In Use” then skip to Part IV: Certification.

If you checked “Not Completed or In Use” then complete Part II, Section 2, below.

Section 2: *On or before April 30, 2010, I hereby certify that since July 31, 2009 all vessel pressure washing wastewaters have been and will be managed in conformance with the requirements in paragraph B.1.a of the Consent Order until all requisite measures specified in paragraph B.1.f.i of the Consent Order have been implemented and are in use, and hereby certify that one or more of the following actions have been completed within the specified timeframes:*

| Milestone | Completed | Not Completed | Completion Timeframe | Action |
|-----------|--------------------------|--------------------------|---------------------------------|---|
| 1 | <input type="checkbox"/> | <input type="checkbox"/> | On or before September 30, 2009 | All plans and specifications have been prepared and completed for the design, construction, installation, operation and maintenance of any measures for the containment, collection, treatment, storage, discharge and/or hauling of vessel pressure washing wastewaters in compliance with CGS Section 22a-430, 22a-430b, 22a-454 and any other applicable requirements. |
| 2 | <input type="checkbox"/> | <input type="checkbox"/> | On or before October 31, 2009 | All requisite local permits, licenses and approvals have been applied for and all applications for a permit, pursuant to CGS Section 22a-32 and 22a-361 and for a certificate of permission pursuant to Connecticut General Statutes Section 22a-363b have been applied for, as provided for in paragraph B.1.c of the Consent Order. |
| 3 | <input type="checkbox"/> | <input type="checkbox"/> | On or before March 31, 2009 | All requisite local permits, licenses and approvals have been obtained and all permits issued pursuant to CGS Section 22a-32 and 22a-361 and certificates of permission issued pursuant to CGS Section 22a-363b, have been obtained, as provided for in paragraph B.1.d of the Consent Order. |
| 4 | <input type="checkbox"/> | <input type="checkbox"/> | On or before April 30, 2010 | If required, the registration for the Miscellaneous General Permit has been filed with the Commissioner, as provided for in paragraph B.1.e of the Consent Order. |

If you checked “Not Completed” for any of the milestones described above, then an explanation must be provided for the corresponding Milestone in Part II, Section 3, below.

Section 3: Describe in detail the reasons for not completing any actions required by paragraphs B.1.b to B.1.e, inclusive, within the specified timeframes, and provide a revised timeframe for completing such actions, provided that regardless of the reasons specified by the Respondent, the discharge of any vessel pressure washing wastewater to the waters of the state that does not have a permit issued by the Commissioner pursuant to CGS Section 22a-430 or 22a-430b shall cease no later than December 31, 2010. Reasons for not completing any actions within the specified timeframes shall be limited to:

- **A demonstrated inability to pay, subject to verification by the Commissioner.** (Any Respondent making such a claim agrees to provide, upon the written request of the Commissioner, financial information that includes, but is not limited to, copies of income tax filings and other financial information prepared by a certified public accountant.); and/or
- **Circumstances beyond the control of the Facility Owner or Operator** (i.e., local permit or CTDEP permit/certificate of permission not issued).

| Milestone | Description | Reason for Not Meeting Milestone | Revised Timeframe (MM/DD/YYYY) |
|-----------|--|----------------------------------|-----------------------------------|
| 1 | Prepared and completed plans and specifications | | |
| 2 | Filed requisite local and DEP/OLISP permit applications, as provided for in paragraph B.1.c of the Consent Order. | | |
| 3 | Obtained all requisite local and DEP/OLISP permits, as provided for in paragraph B.1.d of the Consent Order. | | |
| 4 | If required, filed registration for the Miscellaneous General Permit, as provided for in paragraph B.1.e of the Consent Order. | | |

After completing Part II, Skip to Part IV.

Part III: December 31, 2010 Certification

On or before December 31, 2010, the Respondent **MUST** certify to one of the following statements to demonstrate full compliance with the Consent Order.

| Check the applicable Statement | Certification Statement |
|--------------------------------|---|
| <input type="checkbox"/> | I hereby certify that: (1) any action required by the Consent Order prior to April 30, 2010, noted in paragraph B.1.f.iii of the Consent Order, that was not completed within the timeframe specified by this Consent Order, has been completed by December 31, 2010; and (2) all construction, installation, operation and maintenance of all requisite measures for the containment, collection, treatment, storage, discharge and/or hauling of vessel pressure washing wastewaters in compliance with CGS Sections 22a-430, 22a-430b, 22a-454, and any other applicable requirements, have been implemented and are currently in use. |
| <input type="checkbox"/> | I hereby certify that all vessel pressure washing discharges to the waters of the state which do not have a permit issued pursuant to CGS Section 22a-430 or 22a-430b have ceased, and no discharge of vessel pressure washing wastewater to the waters of the state shall be initiated that does not have a permit issued by the Commissioner pursuant to CGS Section 22a-430 or 22a-430b, unless such permit has been obtained before any such discharge is initiated. |

If you checked a box corresponding to one of the statements above, then proceed to Part IV.

NOTE: If you did not check a box corresponding to one of the statements above, then as of October 1, 2009, the Facility Owner/Operator (Respondent in Consent Order) will be deemed by the CTDEP to be in noncompliance and subject to full discretionary enforcement authority, including additional formal enforcement action and the assessment of penalties for noncompliance.

Part IV: Certification Statement

This certification must be signed by the Facility Owner/Operator (Respondent in Consent Order) or, if the Facility Owner/Operator is not an individual, by the Facility Owner/Operator's chief executive officer or a duly authorized representative of such officer, as those terms are defined in section 22a-430-3(b)(2) of the Regulations of Connecticut State Agencies, and by the individual(s) responsible for actually preparing the certification form, and each such individual shall certify in writing as follows. The certification will be considered incomplete unless all required signatures are provided.

| | |
|--|--|
| <p>"I have personally examined and am familiar with the information submitted in this document and all attachments thereto, and I certify that based on reasonable investigation, including my inquiry of the individuals responsible for obtaining the information, the submitted information is true, accurate and complete to the best of my knowledge and belief.</p> <p>I certify that this certification form is on complete and accurate forms as prescribed by the commissioner without alteration of the text.</p> <p>I understand that a false statement in the submitted information may be punishable as a criminal offense, in accordance with section 22a-6 of the General Statutes, pursuant to section 53a-157b of the General Statutes, and in accordance with any other applicable statute."</p> | |
| <p>_____ Signature of Facility Owner/Operator (Same as Respondent in Exhibit A of Consent Order)</p> | <p>_____ Date</p> |
| <p>_____ Name of Facility Owner/Operator (type or print clearly) (Same as Respondent in Exhibit A of Consent Order)</p> | <p>_____ Title (type or print clearly) (Same as in Exhibit A in Consent Order)</p> |
| <p>_____ Signature of Preparer (if different than above)</p> | <p>_____ Date</p> |
| <p>_____ Name of Preparer (print or type)</p> | <p>_____ Title (if applicable)</p> |

Please submit the completed original, signed copy of this Reporting and Certification Form to:

**Attn: Mr. Edward Finger
 Environmental Analyst 3
 Water Permitting and Enforcement Division
 CT Department of Environmental Protection
 79 Elm Street
 Hartford, CT 06106-5127**