



State of Connecticut  
 Department of Banking  
**Consumer Credit Division**  
 260 Constitution Plaza, Hartford, CT 06103



**REQUEST FOR CHANGE OF OFFICER FORM**  
**Debt Adjuster**

Form may be used to add or delete officers/directors, members or partners.

**Instructions:**

1. Please be advised that per Section 36a-658 of the Connecticut General Statutes licenses **shall not be transferable or assignable.**
2. Please provide full given name and residence (P.O. Box is not acceptable) of any control person (member, partner, trustee, officer, director, or individual with a similar status or function).
3. Please complete **Personal and Business History Statement** for every new control person.
4. If applicable, please complete **Request for Change of Stockholder Form**.
5. Any questions, please contact Nancy Wawruck at 860-240-8221 or via e-mail at [nancy.wawruck@ct.gov](mailto:nancy.wawruck@ct.gov)

**Company Name:** \_\_\_\_\_ **License Number(s)** \_\_\_\_\_

**DBA Name (if applicable)** \_\_\_\_\_

**Telephone #** \_\_\_\_\_ **E-mail Address** \_\_\_\_\_

PRESENT OFFICER SET-UP			
Full Given Name	Title	Residential Address	Date of Birth

PROPOSED OFFICER SET-UP			
Full Given Name	Title	Residential Address	Date of Birth

**NOTE** - If the Applicant is a corporation or association, this instrument must be signed by the President, Vice President or Secretary of the Applicant. If the Applicant is a partnership or LLC, this instrument must be signed by a general partner or member who is duly authorized to execute on behalf of the partnership or LLC, contracts, deeds and other instruments under seal. **Form must be executed by a present officer.**

By: \_\_\_\_\_  
Signature Print Name & Title

STATE OF \_\_\_\_\_

COUNTY OF \_\_\_\_\_

On this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_,

personally appeared \_\_\_\_\_

(Name and Title)

to me known, and known by me to be the signer of the foregoing instrument, who being first duly sworn upon oath, deposes and says he/she has read, and knows the contents thereof, and that the alleged facts herein contained are true to his/her knowledge.

\_\_\_\_\_  
 (Notary Public) or (Commissioner of Superior Court)

\_\_\_\_\_  
 (Commission Expiration Date) Revised



**PERSONAL AND BUSINESS HISTORY STATEMENT**

**Company Name:** \_\_\_\_\_

**Identifying Information**

\_\_\_\_\_

**Individual Name:**

**Date of Birth (MM/DD/YYYY):**

**State of Birth:**

**Country/Province of Birth:**

**US Citizen:**

**Social Security Number:**

**Business Phone:**

**Home or Cell Phone:**

**Personal Email Address:**

\_\_\_\_\_

**Residential Address**

_____	_____	_____	_____	_____
<b>Number &amp; Street</b>	<b>City</b>	<b>State/Province</b>	<b>Country</b>	<b>Postal Code</b>
_____	_____	_____	_____	_____

**Employment History** - List 10 years of your employment history

From	To	Employer	Location	Position Held

\_\_\_\_\_

What experience have you had in the type of business your company is applying for?

\_\_\_\_\_

## Other Business

Are you currently engaged in any other business as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise?

Yes

No

*NOTE: If "Yes", briefly describe.*

## Disclosure Questions

(a) Have you ever filed a personal bankruptcy petition or been the subject of an involuntary bankruptcy petition?

Yes

No

(b) Have you ever been the subject of receivership proceedings?

Yes

No

(c) Have you ever made an assignment for the benefit of creditors?

Yes

No

(d) Have you ever been refused any license by the Department of Banking or any other governmental body?

Yes

No

(e) After such license was granted, was same ever suspended or revoked?

Yes

No

(f) Has application for any license ever been withdrawn?

Yes

No

(g) Is there any litigation pending against you or any firm or company of which you are now a partner, officer, director or manager?

Yes

No

(h) Were you ever a partner, officer, director or manager of any firm or company which has filed a bankruptcy petition or been the subject of an involuntary bankruptcy petition or for which a receiver has been appointed either during the time or within one year after you were so connected therewith?

Yes

No

(i) Have you ever been charged in any suit with any fraudulent or illegal acts in any transaction of any kind or character?

Yes

No

(j) Have you ever been involved in any civil litigation of any character arising out of the consumer credit business?

Yes

No

(k) Have you ever defaulted in the payment of money collected from others?

Yes

No

(l) Have you ever been convicted of any crime (not including motor vehicle traffic misdemeanors)?

Yes

No

*NOTE: If the answer to any of the above questions is "YES", provide complete details of all events or proceedings in an attachment.*

## Signature of Applicant

\_\_\_\_\_  
(Signature)

\_\_\_\_\_  
(Name and Title - Print)

STATE OF \_\_\_\_\_

COUNTY OF \_\_\_\_\_

On this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, personally appeared

\_\_\_\_\_  
(Name and Title)

to me known, and known by me to be the signer of the foregoing instrument, who being first duly sworn upon oath, deposes and says he/she has read, and knows the contents thereof, and that the alleged facts herein contained are true to his/her knowledge.

\_\_\_\_\_  
(Notary Public)

(Commissioner of the Superior Court)

\_\_\_\_\_  
(My Commission Expires)