

**CITIZEN’S ETHICS ADVISORY BOARD
OF THE OFFICE OF STATE ETHICS
18-20 Trinity Street, Hartford, CT 06106-1660**

MINUTES OF THE MEETING OF MAY 17, 2012

The Citizen’s Ethics Advisory Board (“Board”) of the Office of State Ethics (“OSE”) held a Regular Meeting on Thursday, May 17, 2012, on the 5th Floor Conference Room, 18-20 Trinity Street, Hartford, CT 06106.

Chairman David Gay called the meeting to order at 1:00 p.m.

The following members of the Board were present:

- David Gay, Chairman
- Charles Chiusano, Vice-Chairman
- Thomas Dooley, Member
- Herbert A. Grant, Member
- Roger L. Kemp, Member
- Dennis J. Riley, Member
- Mary Bigelow, Member
- Daniel Young, Member
- Susan Gruen, Member

The following staff members of the OSE were present:

- Carol Carson, Executive Director
- Barbara Housen, General Counsel
- Thomas K. Jones, Ethics Enforcement Officer
- Brian O’Dowd, Deputy General Counsel
- Marc W. Crayton, Assistant Enforcement Officer
- Mark E. Wasielewski, Assistant Enforcement Officer
- Cynthia Isales, Assistant General Counsel
- Iris E. D’Oleo-Edwin, Clerk of the Board

The following topics were addressed during the meeting:

1. The Corrected Minutes of February 23, 2012 Regular meeting were presented to the Board to correct a typographical error of the date of the UAPA hearing of May 24, 2012.
2. The Minutes of the April 19, 2012 Regular Meeting were presented to the Board for approval.

On the motion of Mr. Kemp, seconded by Mr. Dooley, the Board voted eight (8) to zero (0) to approve the minutes.

3. General Counsel Housen administered the oath of office to Board Member Gruen.
4. General Counsel Housen presented the Petition for Advisory Opinion from Cynthia Isales, Assistant General Counsel of the OSE.

On the motion of Mr. Riley, seconded by Ms. Bigelow, the Board voted nine (9) to zero (0) to grant the Petition for Advisory Opinion from Cynthia Isales.

5. Deputy General Counsel Brian O'Dowd presented the Draft Advisory Opinion No. 2012-06, in connection with the Petition from Cynthia Isales.

On the motion of Mr. Kemp, seconded by Mr. Chiusano, the Board voted nine (9) to zero (0) to adopt Advisory Opinion No. 2012-6.

6. Chairman Gay presented the Chairman's report. He welcomed Board member Gruen to the Board. He also thanked the staff for the work done during the legislative session and noted that the Board appreciated the work done by the staff.
7. Chairman Gay provided an opportunity for discussion of any new business. There was no new business.
8. General Counsel Housen presented the Legal Division Report. She reminded the Board that Board member Riley is scheduled to preside over the UAPA hearings scheduled for Thursday, May 24, 2012.
9. Ethics Enforcement Officer Jones presented the Enforcement Division Report. He reminded the Board that one confidential probable cause hearing is scheduled for May 30, 2012, and, that if probable cause is found, the Division, within 30 days of a finding of probable cause, must impanel the Board for a full hearing. He also informed the Board of the status of the Enforcement Division's initiative to enforce the filing of the 2011 Statement of Financial Interests (SFI) for state employees, public officials, and the 2011 Statement of Income for state marshals.
10. Assistant Enforcement Officer Marc W. Crayton reported on the status of the Enforcement Division's initiative to enforce the filing of the 2012 1st Quarter Report for Client Lobbyists, and on the number of UAPA hearings scheduled for May 24, 2012.
11. The Board discussed the challenges facing the Enforcement Division to enforce the filing of the departing officials SFIs by public officials and state employees upon leaving state service.

12. Ethics Enforcement Officer Jones presented the Audit report for Connecticut Center for Massage Therapy.

On the motion of Mr. Dooley, seconded by Mr. Chiusano, the Board voted nine (9) to zero (0) to approve the audit report for Connecticut Center for Massage Therapy.

13. Ethics Enforcement Officer Jones presented the Audit report for Connecticut Society Nurse Psychotherapists.

On the motion of Mr. Chiusano, seconded by Ms. Bigelow, the Board voted nine (9) to zero (0) to approve the audit report for Connecticut Society Nurse Psychotherapists.

14. Ms. Carson presented the Legislation Report and addressed questions and comments posed by the Board. She indicated that the OSE's bill had passed the Senate but did not pass the House.

15. Ms. Carson presented the Executive Director's report. She reported on the filings of the 2011 Statement of Financial Interests which were due by May 1, 2012. She also reported on staff matters and on the OSE budget.

16. Chairman Gay provided an opportunity for public comments. Mr. Donald Philips, from the Connecticut Bar Association addressed the Board. Mr. Philips expressed his gratitude to the legal staff and commended the OSE for the assistance and help it provides to the regulated community.

17. Chairman Gay congratulated Assistant General Counsel Isales for her work in the matter of AFSCME v. OSE, before the Connecticut Supreme Court.

18. **On the motion of Mr. Grant, seconded by Mr. Kemp, the Board voted nine (9) to zero (0) to move into Closed Session to discuss matters that are confidential pursuant to General Statutes §§ 1-82 and 1-93.**

The following individuals attended the Closed Session:

- David Gay, Chairman
- Charles Chiusano, Vice-Chairman
- Mary Bigelow, Member
- Herbert A. Grant, Member
- Roger L. Kemp, Member
- Daniel Young, Member
- Thomas Dooley, Member
- Susan Gruen, Member
- Dennis Riley, Member
- Carol Carson, Executive Director
- Barbara Housen, General Counsel
- Thomas Jones, Ethics Enforcement Officer

- Iris E. D’Oleo-Edwin, Clerk of the Board
- Mark Wasielewski
- Marc Crayton

19. **On the motion of Mr. Grant, seconded by Mr. Kemp, the Board voted nine (9) to zero (0) to come out of Closed Session.**
20. **On the motion of Chiusano, seconded by Mr. Young, the Board voted nine (9) to zero (0) to move into Executive Session to discuss strategy for pending litigation. Mr. Riley excused himself from the meeting prior to the Executive Session.**

The following individuals attended the Closed Session:

- David Gay, Chairman
- Charles Chiusano, Vice-Chairman
- Mary Bigelow, Member
- Herbert A. Grant, Member
- Roger L. Kemp, Member
- Daniel Young, Member
- Thomas Dooley, Member
- Susan Gruen, Member
- Carol Carson, Executive Director
- Barbara Housen, General Counsel
- Thomas Jones, Ethics Enforcement Officer
- Iris E. D’Oleo-Edwin, Clerk of the Board
- Mark Wasielewski
- Marc Crayton

21. **On the motion of Mr. Dooley, seconded by Mr. Chiusano, the Board voted eight to zero to come out of Executive Session.**
22. **On the motion of Mr. Kemp, seconded by Mr. Chiusano, the Board voted eight (8) to zero to adjourn the meeting at 1:50 p.m.**

Respectfully submitted by,

Iris E. D’Oleo-Edwin, Clerk
 Citizen’s Ethics Advisory Board

Date approved
 (By the Citizen’s Ethics Advisory Board of the Office of State Ethics)